# Test Report issued under the responsibility of:



	TEST REPORT	-1		
EST REPORT EN 60204-1 Safety of Machinery – Electrical equipment of machines Part 1: General requirements				
Report Reference No	AOC250508008S			
Compiled by (+ signature):	WanYang Ye	wany ang re		
Reviewed by (+ signature)	Johnson Wang	Johnson. Wang		
Approved by (+ signature)	Robin Liu	Robin. Lin		
Date of issue:	May 8, 2025			
Testing laboratory				
Name				
	Room 202, 2nd Floor, No.12th Building of Xinhe Tongfuyu Industr Park, Fuhai Street, Baoan District, Shenzhen, Guangdong, China			
Testing location	Same as above			
Client				
Name:	Wuyi Xintai Power Tools	Co.,Ltd		
Address:	No. 5 Hardware Avenue F County, Zhejiang 321200	Hardware Machine Industrial Zone, WuYi P.R. China		
Test specification Standard	EN 60204-1:2018; EN IS	O 12100: 2010;		
Test procedure:	Compliance with EN 602	04-1:2018; EN ISO 12100: 2010;		
Procedure deviation:	N/A			
Test item Description:	Orbital Sander			
Trademark:	N/A			
Model and/or type reference:	R4511			
Manufacturer:	Wuyi Xintai Power Tools	Co.,Ltd		
Address	No. 5 Hardware Avenue F County, Zhejiang 321200	Hardware Machine Industrial Zone, WuYi P.R. China		
Rated	220-240V, 50/60Hz, 170V	V		

Test case verdicts	
Test case does not apply to the test object:	N(/A)
Test item does meet the requirement:	P(ass)
Test item does not meet the requirement:	F(ail)
Testing	
Date of receipt of test item:	April 28, 2025
Date(s) of performance of test:	April 28, 2025 –May 8, 2025
General remarks	
manufacturer. "(see appended table)" refers to a table appended to th Throughout this report a comma is used as the decimal	he object tested and information given from applicant or e report.
<ul> <li>Additional information</li> <li>This test report consist of: <ol> <li>Main Report</li> <li>Annex A Tests of Continuity of the protective bond Insulation resistance tests Voltage tests</li> </ol> </li> <li>3. Annex B Product photo-documents</li> </ul>	ling circuit

Сору с	of marking plate:
	Orbital Sander R4511 220-240V, 50/60Hz, 170W
	Manufacturer: Wuyi Xintai Power Tools Co.,Ltd Address: No. 5 Hardware Avenue Hardware Machine Industrial Zone, WuYi County, Zhejiang 321200 P.R. China
	Made in China

Examination types of machine	
1.2. The category is described hereafter:	
Model:	Main test model: R4511
Test items particulars:	N/A
Modifications allowed:	No
Ambient temperature range (°C):	15~30°C
Humidity range:	<60%
Altitude:	< 1000m above sea level
Environmental requirements:	N/A
Radiation:	N/A
Vibration, shock:	No hazard
Special installation and operation requirements:	See instruction
Anticipated voltage fluctuations (if more than $\pm$ 10 %):	N/A
Anticipated frequency fluctuations (if more than in cl. 4.3.2):	-
- specification of short-term value:	N/A
Indicate of possible future changes in electrical equipment:	N/A
Indicate for each source of electrical supply the requirements:	-
- nominal voltage (V):	N/A
- number of phases:	N/A
- frequency(Hz):	N/A
- prospective short-circuit current at point of supply to machine:	N/A
- fluctuations outside to values given in cl. 4.3.2:	± 10 %
Type of power supply earthing:	Pass
Electrical equipment to be connected to neutral (N):	
User or supplier provide overcurrent protection of the supply conductors:	Pass
- type and rating of overcurrent protective device:	N/A
- settings of protective device:	No possible to set
Supply disconnecting device:	Appliance inlet
- disconnection of neutral (N) conductor required:	No
- link for neutral (N) permissible:	
- type of disconnecting device to be provided:	Appliance inlet
Limit of power up to which three-phase AC-motors may be started directly across the incoming supply lines:	Pass

May number of motor overload detection devices be	N/A
May number of motor overload detection devices be N reduced:	ν/A
Where machine is equipped with local lighting: N	N/A
- highest permissible voltage: N	N/A
<ul> <li>- if lighting circuit voltage is not obtained directly from N</li> <li>the power supply, state preferred voltage:</li> </ul>	N/A
Functional identification: F	Pass
Inscriptions / special markings: S	See below
- mark of certification: C	CE symbol provided
- on electrical equipment: F	Pass
- language : E	English
Technical documentation (media, language):	Provided by English or local official language
Size, location and purpose of ducts, open cable trays N or cable-supports to be provided by the user:	N/A
For which of following classes of persons is access to F the interior of the switchgear cabinets required during normal operation of the equipment:	Pass
Locks with removable keys provided for fastening F doors or covers :	Pass
Type of two-hand control to be provided: N	N/A
- where it is type III, time limit (max. 0,5 s) within which N each pair of push-buttons are to be operated:	N/A
Indicate special limitations on size or weight which F affect the transport of a particular machine or control gear assemblies to the installation site:	Pass
- maximum dimensions(mm):	-
- maximum weight:	-
Repetition of manual controlled cycles of operation: N	N/A
<ul> <li>length of time expected that machine will be operated N at this rate without subsequent pause:</li> </ul>	N/A
Certificate for operating tests F	Pass
- with the loaded machine to be supplied (specially N built machines):	N/A
- on a loaded prototype machine to be supplied F (normal machines):	Pass
Time delay for cableless control systems: N	N/A
Specific method of conductor identification to be used: N	N/A

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	systems to machines.		
4	General requirements		
4.1	General		Pass
4.2	Selection of equipment		Pass
4.3	The electrical equipment shall operate correctly under full load.	According to marking on the equipment and function test, the electrical equipment would operate correctly.	Pass
4.3.1	a.c. supplies	AC supplies	Pass
	Voltage variations: 0,9 - 1,1		Pass
	Frequency variation: 0,99 - 1,01 0,98 - 1,02	Ditto	N/A
	Harmonics distortion:10%2nd to 5th harmonics12%6th to 30th harmonics	Ditto	N/A
	Voltage unbalance in 3-phase supplies	Ditto	Pass
	Voltage impulses (1,5ms )	Ditto	N/A
	Voltage interruption (3ms)	Ditto	N/A
	Voltage dips (20%)	Ditto	N/A
4.3.2	d.c. supplies		N/A
	From batteries:		N/A
	Voltage variations: 0,85 - 1,15 0,7 - 1,2		N/A

# EN 60204-1 Clause Requirement – Test Result - Remark

This standard applies to the application

electrical and electronic equipment and

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Scope

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Pass

The product is within the scope of

EN 60204-1 for industrial use.

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Clause	Requirement – Test	Result -	- Remark		Verdict

	Voltage interruption (5ms)		N/A
	From converting equipment		N/A
	Voltages variations: 0,85 - 1,15 0,7 - 1,2		N/A
	Voltage interruption (5ms)		N/A
	Ripple (0,05% of nom. voltage)		N/A
4.4	Physical environment and operating condition	Reference to instruction	
4.4.1	Electromagnetic compatibility (EMC)		Pass
	Withstand test specified in EN61004-6-4, EN61000-6-2	Complies	Pass
4.4.2.	Temperature, between 5°C to 40 °C Average temp. not more than +35°C	According to the instruction manual, this machine is designed within this range.	Pass
	Temperature, between 5°C to 40 °C	Ditto	Pass
4.4.3.	Humidity:	Ditto	Pass
4.4.4.	Altitude: max 1000m	Ditto	Pass
4.4.5.	Contaminants: Adequate protection against the ingress of solid bodies and liquids.	The clause has been met. metal enclosure used and comply with IPX0 requirement according to IEC 60529	Pass
4.4.6.	Ionizing and non-ionizing radiation: When the equipment is subject to radiation	Not applicable	N/A
4.4.7.	Vibration, shock and bump.	No particular requirements for vibration, shock & bump are found required, unless otherwise special agreement.	Pass
4.5	Transportation and storage25 to +55 °C and short periods up to +70 °C	According to safe instruction of instruction manual, this machine is designed within this range.	Pass
4.6	Provisions for handling. Suitable means for handling by cranes or similar equipment.	Not applicable	N/A
4.7	Installation and operation Suppliers instruction	All the electrical equipment have been installed, and operated in accordance with the supplier's manual.	Pass

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	and devices for disconnecting and		
	switching of.		
5.1	Incoming supply conductor termination		
	Single or multiple power supply		Pass
	Separate terminals	Separate terminals have been provided.	Pass
	Plug provided with the machine	Not applicable	N/A
	Neutral conductor labelled and marked in installation instruction.		N/A
	Connection between protective earth and neutral.		Pass
	Identification of incoming supply connection.		Pass
5.2	External protective conductor terminal	No such terminals	N/A
	The placing of the terminal		N/A
	Size of the terminal		N/A
	Marking of the external protective conductor with letters "PE"		N/A
	Other protective terminals shall be marked with the symbol 417-IEC-5019 or by use of bicolour combination GREEN-AND- YELLOW		N/A
5.3	Supply disconnecting (isolating) device		
5.3.1	Hand operated disconnect device for each incoming device.		N/A
	Interlocks		N/A
5.3.2	<ul> <li>a) Switch-disconnecting device</li> <li>b) A disconnector with auxiliary device</li> <li>c) Circuit breaker d) Plug / socket</li> <li>combination.</li> </ul>		N/A
5.3.3	Requirements		
5.3.3.1	General		Pass
	Marked with "I" and "O"		N/A
	When "Off" then all conductors are open		Pass

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Requirement – Test

Incoming supply conductors termination

Clause

5.0

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Verdict

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Clause	Requirement – Test		Result - Remark		Verdict

	Have an external operating handle. Not		Pass
	emergency stop device and not RED.		
	Means to be locked in "OFF" position		Pass
	Disconnect all live conductors		N/A
	Breaking capacity		N/A
5.3.3.2	Power operated circuit-breakers		N/A
	Means for manual operation		N/A
	Manual as well as remote closing		N/A
5.3.4	Disconnect device shall be easily accessible.		Pass
	0,6 and 1,9 m		
5.3.5	Excepted circuits	No excepted circuit	N/A
	- lightning		
	- plug / Socked circuits		
	- Undervoltage protection		
	- Circuits supplying equipment		
	- Control circuits		
	Warning label for excepted circuits	Not applicable	N/A
	- Warning label at disconnect device		
	- Warning label at each circuit		
	- Statement in maintenance manual		
5.4	Not applicable		N/A
5.5	Devices for disconnecting electrical		N/A
	equipment		
5.6	Protection against unauthorized, inadvertent		N/A
	and/or mistaken connection		

6	Protection against electric shock		
6.1	General: Protections against: - direct contact; and - indirect contact	According clause 6.2. and 6.3., No PELV of clause 6.4., it is not	Pass
6.2	Protection against direct contact. 6.2.1. and 6.2.2. are applicable and 6.2.3. shall be applied	applicable.	Pass
6.2.1	Protection by enclosures.		Pass
	Minimum protection : IP4X or IPXXB		N/A

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Clause	Requirement – Test		Result - Remark	Ve	rdict

a)	Use of key or tool for access for skilled		N/A
	persons.		
	Minimum requirement inside doors: IP1X or IPXXA		N/A
	Minimum requirement inside doors for live parts which are likely to be touched: IP2X or IPXXB		Pass
	Rooms used as enclosures which are accessible only to skilled persons, then IEC 364-4-41, IEC 364-4-47 and EN 60439-1 apply.	Not applicable	N/A
b)	Interlocking of doors		N/A
	Device or tools to defeat the interlock		N/A
	Disconnect device shall be protected against direct contact to at least IP2X or IPXXB		N/A
	Warning sign according to 18.2		N/A
c)	without use of key or tool		N/A
	Protected against direct contact to at least IP2X or IPXXB		N/A
	Where barriers are used then tool or disconnect device are required.		N/A
6.2.2	Protection by insulation of live parts		Pass
	Live part shall be covered by insulation which withstand mechanical, chemical, electrical and thermal stresses during normal service conditions.		Pass
	Protection against residual voltages		Pass
	After disconnection the voltage shall drop to 60V or less within 5 second.		Pass
	Exemption: - Components with stored charge of 60µC or less or - If the rate of discharge does interfere with the proper function of the equipment.	No this situation	N/A
	Warning notice located on or adjacent to the enclosure.	No this situation	N/A

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Clause	Requirement – Test	Res	ult - Remark	V	'erdict

	Plugs: Discharge time shall not exceed 1 second.	No this situation	N/A
	Exemption: The conductors are protected against direct contact to at least IP2X or IPXXB.	No this situation	N/A
6.3	Protection against indirect contact		Pass
	Hazardous condition when insulation fails between live parts and exposed conductive parts.	According to clause 6.3.2. and 6.3.3. to fulfil with statement.	Pass
	Each circuit or part one of 6.3.1, 6.3.2 or 6.3.3 shall be applied.	See following test	Pass
6.3.1	Protection by automatic disconnection of supply.	No device of able automatic disconnection of supply	N/A
	Protective bonding circ		N/A
	Protective device for automatic disconnection of the supply in case of insulation failure.		N/A
	Co-ordination between type of power supply and disconnect device accordance with 413.1 of IEC 364-4-41		N/A
6.3.2	Protection by use of Class II equipment or by equivalent insulation		N/A
	- Use of class II electrical device or reinforced or by equivalent insulation.		N/A
	- Use of switchgear and controlgear assemblies		N/A
	- application of supplementary or reinforced insulation according to IEC 364-4-41		N/A
6.3.3	Protection by electrical separation		Pass
	Electrical separation by an individual circuit to prevent shock current through contact with exposed conductive parts. IEC 364-4-41 shall apply.		Pass
6.4	Protection by use of PELV (Protective Extra Low Voltage).	No PELV circuit	N/A

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Clause	Requirement – Test	F	Result - Remark	Verdict	

PEL	LV shall satisfy all of the following	N/A
con	ditions:	
a) N	Max 25 Vac or 60Vdc	
b) N	Max current when failure to 1A a.c. or	
0,2/	A d.c.	
c) N	lax 80 mm2 of area not protected.	
d) C	Only indoor with dry condition.	
e) S	Source of supply shall be insulated	
acc	ording to with higher voltage according to	
6.3.	.3 and 15.1.3	
f) P	ELV circuit shall be bonded to protective	
eart	th.	
g) E	Exposed conductive parts associated with	
PEL	LV shall be insulated or bonded.	
h) F	Plugs and socket outlets:	
1) F	Plugs shall not be able to enter other	
soc	kets than in PELV circuits	
2) S	Socket outlets shall exclude plugs from	
othe	er circuits than PELV	
i) W	/here this circuits are used as control	
circ	uits then they shall also fulfil the relevant	
requ	uirements of clause 9.	

7	Protection of equipment	
7.1	General	Pass
7.2	Overcurrent protection	Pass
7.2.1	Supply conductors	Pass
	The supplier is not responsible for the overcurrent device for the supply conductors.	Pass
	Installation diagram with data necessary for selection of overcurrent protective device.	Pass
7.2.2	Power circuits	Pass
	All live conductors except earthed neutral conductor shall be protected against overcurrent.	Pass

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Clause	Requirement – Test	Result - Remark	Verdict		

	Cross section area for Neutral conductor is		N/A
	at least equal to or equivalent to that of the		
	phase conductors. It is not necessary		
	For Neutral conductors smaller than phase conductors then IEC 60364-4-473 shall	The clause has been met.	Pass
	apply		
	For IT power system it is generally	No IT power system	N/A
	necessary to provide an overcurrent		
	protection.		
7.2.3	Control circuits		Pass
	Conductors for control circuits	Conductors for control circuit	Pass
	connected to supply voltage shall	have overcurrent protective	
	be protected against overcurrent according	device in approved switch power	
	to 7.2.2	supply.	N/A
7.0.4	Control circuits feed through a transformer		
7.2.4	Socket outlets and their associated conductors		Pass
	Overcurrent protection is required for socket outlets		Pass
	Provided in phase conductors	Not applicable	N/A
7.2.5	Local lightning circuits	Without local lighting circuit	N/A
	Separate protected by overcurrent device	Not applicable	N/A
7.2.6	Transformers		N/A
	Transformers shall be protected against overcurrent in accordance with IEC 76-5 and EN 60742	Not applicable	N/A
7.2.7	Location of overcurrent protective device		Pass
	Overcurrent protective device shall be		Pass
	located where the conductors are connected		
	to the power supply.		
7.2.8	Overcurrent protective device		Pass
	The breaking capacity		Pass
7.2.9	Rating and setting of overcurrent device		Pass
7.3	Overload protection of motors		Pass
7.4	Abnormal temperature protection		Pass

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Clause	Requirement – Test	Result - Remark	Verdict		

7.5	Protection against supply interruption or voltage reduction and subsequent restoration		Pass
7.6	Motor overspeed protection		Pass
7.7	Earth fault/residual current protection	Not applicable	N/A
7.8	Phase sequence port	Not applicable	N/A
7.9	Protection against overvoltages due to lightning and to switching surges	Not applicable	N/A

8	Equipotent bonding		
8.1	General		Pass
8.2	Protective bonding circuit		Pass
8.2.1	General		Pass
8.2.2	Protective conductors		Pass
8.2.3	Continuity of the protective bonding circuit		Pass
8.2.4	Exclusion of switching devices from the protective bonding circuit	No this device	N/A
8.2.5	Parts which need not to be connected to the protective bonding circuit		Pass
8.2.6	Interruption of the protective bonding circuits		Pass
	Protective conductor connecting points		Pass
8.2.7	Mobile machines	No this situation	N/A
8.2.8	Additional protective bonding requirements for electrical equipment having earth leakage currents higher than 10mA a.c. or d.c.		N/A
8.3	Bonding to the protective circuit for operational purposes	No this situation	N/A
8.4	insulation failures Measures to limit the effects of high leakage current	Adequate protection has been provided.	N/A
8.5	Bonding to a common reference potential	No this situation	N/A
8.6	Electrical interference	No this situation	N/A

9	Control circuits and control functions	
9.1	Control circuits	

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Clause	Requirement – Test	Result - Remark	Verdict	

9.1.1	Control circuit supply		Pass
9.1.2	Control circuit voltages		Pass
9.1.3	Protections		Pass
9.1.4	Connection to control devices		Pass
9.2	Control function		Pass
9.2.1	Start functions		Pass
9.2.2	Stop functions		Pass
9.2.3	Operating modes		N/A
9.2.4	Suspensions of safeguards		N/A
9.2.5	Operation		Pass
9.2.5.1	General		Pass
9.2.5.2	Start		Pass
	Interlocks		N/A
	Machines which require more than one control station to indicate a start.	Not applicable	N/A
9.2.5.3	Stop		Pass
	Interlocks		N/A
	The reset of the stop function		N/A
	Machines which require more than one control station to indicate a start.	Not applicable, only one starts.	N/A
9.2.5.4	Emergency stop		Pass
9.2.5.5	Monitoring of command actions		N/A
9.2.6	Other control functions		N/A
9.2.6.1	Hold-to-run controls	No this device	N/A
9.2.6.2	Two-hand control	No this device	N/A
9.2.6.3	Enabling control		N/A
9.2.6.4	Combined start and stop controls		N/A
9.2.7	Cableless control	Not applicable	N/A
9.3	Protective interlocks		N/A
9.3.1	Restoration of interlocked safeguards	Not applicable	N/A
9.3.2	Overtravel limits		N/A
9.3.3	Operation of auxiliary functions	Not applicable	N/A

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Clause	Requirement – Test		Result - Remark		Verdict

9.3.4	Interlocks between different operations and for contrary motions		N/A
9.3.5	Reverse current breaking	No this device	N/A
9.4	Control functions in case of failure		N/A
9.4.1	General requirements		N/A
9.4.2	Measures to minimize risk in case of failure		N/A
9.4.2.1	Use of proven circuit techniques and components		N/A
9.4.2.2	Provision of redundancy		N/A
9.4.2.3	Use of diversity		N/A
9.4.2.4	Functional test		N/A
9.4.3	Protection against maloperations due to earth faults and voltage interruption		N/A
9.4.3.1	Earth faults		N/A
9.4.3.2	Voltage interruptions		N/A
9.4.3.3	Loss of circuit continuity		N/A

10	Operator interface and machine mounted control devices		
10.1	General	Comply with requirement	Pass
10.1.1	Location and mounting		Pass
10.1.2	Protection		Pass
10.1.3	Position sensors	Not applicable	N/A
10.2	Push-buttons		Pass
10.2.1	Colours		Pass
10.2.2	Markings	Markings are compliance with IEC- 417	Pass
10.3	Indicator lights and displays		Pass
10.3.1	Modes of use		Pass
10.3.2	Colours		Pass
10.3.3	Flashing lights	No this device	N/A
10.4	Illuminated push-buttons		Pass
10.5	Rotary control devices	Not applicable	N/A

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10.6	Start devices	They are constructed to minimize inadvertent operation.	N/A
10.7	Emergency stop devices		Pass
10.7.1	Location of emergency stop devices E		Pass
10.7.2	Туреѕ		Pass
10.7.3	Colour of actuators		Pass
10.7.4	Local operation of the supply disconnecting device to effect emergency stop		Pass
10.7.5	Use of means of disconnection	No this situation	N/A
10.8	Emergency switching off device		Pass
10.8.1	Location		Pass
10.8.2	Туреѕ		Pass
10.8.3	Colour of actuators		Pass
10.8.4	Local operation of the supply disconnecting device to effect emergency switching off		Pass
10.9	Enabling control device		Pass

11	Electronic equipment		
11.1	General		Pass
11.2	Basic requirements	Indications of state about input and output are supplied.	Pass
11.2.2	Electronic control equipment		Pass
11.2.3	Equipotent bonding		N/A
11.3	Programmable equipment	Not applicable	N/A
11.3.1	Programmable controllers	Not applicable	N/A
11.3.2	Memory retention and protection	The clause has been met.	Pass
11.3.3	Programming equipment	Not applicable	N/A
11.3.4	Software verification	Software verification has been carried out.	N/A
11.3.5	Use in safety-related functions	It has been tested.	Pass

11	Controlgear: location, mounting and	
	enclosures	

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Clause	Requirement – Test		Result - Remark		Verdict

11.1	General requirements		Pass
11.2	Location and mounting		Pass
11.2.1	Accessibility and maintenance	Not applicable	N/A
11.2.2	Segregation		Pass
11.2.3	Heating effects		Pass
11.3	Degrees of protection		Pass
11.4	Enclosures, doors and openings		Pass
11.5	Access to controlgear Minimum dimension of	No this situation	N/A
	gangways		

12	Conductors and cables		
12.1	General requirements		Pass
12.1	General requirements	Suitable for the operating condition.	Pass
12.2	Conductors		Pass
12.3	Insulation		Pass
12.4	Current carrying capacity in normal service		Pass
12.5	Voltage drop		Pass
12.6	Minimum cross-section area		Pass
12.6	Flexible cables		Pass
12.6.1	General		Pass
12.6.2	Mechanical rating		Pass
12.6.3	Current-carrying capacity of cables wound on drums		Pass
12.7	Collector wires, collector bars and slip-ring assemblies		N/A
12.7.1	Protection of against direct contact		Pass
17.2	Protective conductor circuit	Not applicable	N/A
12.7.3	Protective conductor current collectors	Not applicable	N/A
12.7.4	Removable current collectors with a disconnector function	Not applicable	N/A
12.7.5	Clearances in air (IEC60664-1)		Pass
12.7.6	Creepage distance (IEC60664-1)		Pass

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Clause	Requirement – Test	Result - Remark	Verdict	

12.7.7	Conductor system section	Not applicable	N/A
12.7.8	Construction and installation		Pass

13	Wiring practices		
13.1	Connection and routing		Pass
13.1.1	General requirements	Compliance by inspection	Pass
13.1.2	Conductor and cable runs	Compliance by inspection	Pass
13.1.3	Conductors of different circuits	Compliance by inspection	Pass
13.2	Identification of conductors		Pass
13.2.1	General requirements	Conductors can be identifiable	Pass
13.2.2	Identification of the protective conductor		Pass
13.2.3	Identification of Neutral conductor		Pass
13.2.4	Identification by colour		Pass
13.3	Wiring inside enclosures	Compliance by inspection	Pass
13.4	Wiring outside enclosures		Pass
13.4.1	General requirements	IP is the same with enclosure.	Pass
13.24.2	External ducts	Compliance by inspection	Pass
13.4.3	Connection to moving elements of the machine	No this situation	N/A
13.4.4	Interconnection of devices on the machine	Compliance by inspection	Pass
13.4.5	Plug and socket connection		Pass
13.4.6	Dismantling for shipment	Compliance by inspection of instruction manual	Pass
13.4.7	Additional conductors	Not applicable	N/A
13.5	Ducts, connection and junction boxes		Pass
13.5.1	General requirements	No sharp edges	Pass
13.5.2	Percentage fill of ducts	Suitable	Pass
13.5.3	Rigid metal conduit and fittings	Conduits are securely held in place.	Pass
13.5.4	Flexible metal conduit and fittings		Pass
13.5.5	Flexible non-metal conduit and fittings		N/A
13.5.6	Cable trunking systems		Pass

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Clause	Requirement – Test		Result - Remark		Verdict

13.5.7	Machines compartments and cable trunking	Not applicable	N/A
	systems		
13.5.8	Connection boxes and other boxes		Pass
13.5.9	Motor connection boxes		Pass

14	Electric motors and associated equipment	
14.1	General requirements (IEC60034)	Pass
14.2	Motor enclosures	Pass
14.3	Motor dimensions (IEC60072)	Pass
14.4	Motor mounting and compartments	Pass
14.5	Criteria for motor selections	N/A
14.6	Protective devices for mechanical brakes	N/A

15	Accessories and lightning		
15.1	Accessories	No accessories	N/A
15.2	Local lightning of the machines and equipment		N/A
15.2.1	General		N/A
15.2.2	Supply		N/A
15.2.3	Protection		N/A
15.2.4	Fittings		N/A

16	Marking, warning signs and reference designation		
16.1	GeneralNameplates, marking and identification plates	Nameplates, marking and identification plates have been provided.	Pass
16.2	Warning signs		Pass
16.2.1	Electric shock hazard	Machine is marked with warning signs.	Pass
16.2.2	Hot surfaces hazard	Machine is marked with warning signs.	Pass

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16.3	Functional identification	According to symbol of panel and	Pass
		instruction manual, it is met.	
16.4	Marking of control equipment	Marking of equipment is checked	Pass
		by inspection.	
16.5	Reference designations	The clause has been met.	Pass

17	Technical documentation		
17.1	General	English approved	Pass
17.2	Information to be provided	The clause has been met.	Pass
17.3	Requirements applicable to all documentation	These documents are: Installation diagram, Circuit diagram, Parts list of electrical components, Marking, Instruction manual	Pass
18.4	Basic information	Information is fulfilled.	Pass
17.4	Installation diagram Documents	Installation diagram is checked by inspection.	Pass
17.5	System (bloc) diagram Overview diagrams and function diagrams	The clause has been met.	Pass
17.6	Circuit diagrams	Circuit diagram is checked by inspection.	Pass
17.7	Operating manual	Operating of instruction manual is checked by inspection.	Pass
17.8	Maintenance manual	Maintenance of instruction manual is checked by inspection.	Pass
17.9	Part list	Parts list of electrical components is checked by inspection.	Pass

18	Verification		
18.1	General	See the following test reports of	Pass
		annex A	
18.2	Continuity of the protective bonding circuit	circuit Refer to the Test report	Pass
18.3	Insulation resistance test	Refer to the Test report	Pass
18.4	Voltage	Refer to the Test report	Pass

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18.5	Protection against residual voltages	Refer to the Test report	Pass
18.6	Functional	Functional tests have carried out.	Pass
18.7	Retesting	Refer to instruction manual, being	Pass
		the fulfilment with this statement.	

# Tables

# Annex A – Test tables

18.2 for EN 60204-1	TABLE: Continuity c		Р		
Location	Current(A)	Frequency(Hz)	Time(s)	Measu	ired voltage(V)
Between PE terminal and relevant points that are part of the protective bonding circuit	25	50	60		8V

18.3 for EN 60204-1	TABLE: Insulation resistance tests				Р
Location	Voltage(V) d.c.	Frequency(Hz)	Time(s)		ured insulation ance(MΩ)
Between power circuit conductors and protective bonding circuit	500		60		>100
Between secondary power circuit conductors to protective bonding circuit	500		60		>100

18.4 for IEC 60204-1	TABLE: voltage tests		Р	
test voltage applied between:		Test Voltage (V) a.c / d.c	Break	down YES/NO
The conductors of all circuits and the		1000AC/50Hz/1min	NO	
protective bonding circu	uits			

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1	Scope	
2	Normative references	
3	Terms and definitions	
4	Strategy for risk assessment and risk reduction	
5	Risk assessment	
5.1	General	
	Risk assessment comprises (see Figure 1)	Pass
	- risk analysis, comprising	
	1) determination of the limits of the machinery (see 5.3),	
	2) hazard identification (5.4 and Annex B), and	
	3) risk estimation (see 5.5), and	
	- risk evaluation (see 5.6).	
	Risk analysis provides information required for the risk evaluation, which in	Pass
	turn allows judgments to be made about whether or not risk reduction is	
	required.	
	These judgments shall be supported by a qualitative or, where appropriate,	Pass
	quantitative estimate of the risk associated with the hazards present on the	
	machinery.	
	The risk assessment shall be documented according to Clause 7.	Pass
5.2	Information for risk assessment	
	The information for risk assessment should include the following.	
	a) Related to machinery description:	Pass
	1) user specifications;	
	2) anticipated machinery specifications, including	
	i) a description of the various phases of the whole life cycle of the machinery,	
	ii) design drawings or other means of establishing the nature of the	
	machinery, and	
	iii) required energy sources and how they are supplied;	
	3) documentation on previous designs of similar machinery, if relevant;	
	4) information for use of the machinery, as available.	
	b) Related to regulations, standards and other applicable documents:	Pass
	1) applicable regulations;	
	2) relevant standards;	
	3) relevant technical specifications;	
	4) relevant safety data sheets.	
	c) Related to experience of use:	Pass
	1) any accident, incident or malfunction history of the actual or similar	

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	machinery;	
	2) the history of damage to health resulting, for example, from emissions	
	(noise, vibration, dust, fumes,	
	etc.), chemicals used or materials processed by the machinery;	
	3) the experience of users of similar machines and, whenever practicable, an	
	exchange of information with the potential users.	
	d) Relevant ergonomic principles.	
	The information shall be updated as the design develops or when	Pass
	modifications to the machine are required.	
	Comparisons between similar hazardous situations associated with different	Pass
	types of machinery are often possible, provided that sufficient information	
	about hazards and accident circumstances in those situations is available.	
	For quantitative analysis, data from databases, handbooks, laboratories or	Pass
	manufacturers' specifications may be used, provided that there is confidence	
	in the suitability of the data. Uncertainty associated with these data shall be	
	indicated in the documentation (see Clause 7).	
.3	Determination of limits of machinery	
.3.1	General	-
	Risk assessment begins with the determination of the limits of the machinery,	Pass
	taking into account all the phases of the machinery life. This means that the	
	characteristics and performances of the machine or a series of machines in	
	an integrated process, and the related people, environment and products,	
	should be identified in terms of the limits of machinery as given in 5.3.2 to	
	5.3.5.	
.3.2	Use limits	
	Use limits include the intended use and the reasonably foreseeable misuse.	
	Aspects to be taken into account include the following:	
	a) the different machine operating modes and different intervention	N/A
	procedures for the users, including interventions required by malfunctions of	
	the machine;	
	b) the use of the machinery (for example, industrial, non-industrial and	Pass
	domestic) by persons identified by sex, age, dominant hand usage, or limiting	
	physical abilities (visual or hearing impairment, size, strength, etc.);	
	c) the anticipated levels of training, experience or ability of users including	N/A
	1) operators,	
	2) maintenance personnel or technicians,	
	3) trainees and apprentices, and	
	4) the general public;	

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	d) exposure of other persons to the hazards associated with the machinery	Pass
	where it can be reasonably foreseen:	
	1) persons likely to have a good awareness of the specific hazards, such as operators of adjacent machinery;	
	<ol> <li>persons with little awareness of the specific hazards but likely to have a good awareness of site</li> </ol>	
	safety procedures, authorized routes, etc., such as administration staff;	
	3) persons likely to have very little awareness of the machine hazards or the site safety procedures, such as visitors or members of the general public, including children	
	including children. If specific information is not available in relation to b), above, the	Pass
	manufacturer should take into account general information on the intended	1 435
	user population (for example, appropriate anthropometric data).	
5.3.3	Space limits	
	Aspects of space limits to be taken into account include	Pass
	a) the range of movement,	
	b) space requirements for persons interacting with the machine, such as	
	during operation and maintenance,	
	c) human interaction such as the operator–machine interface, and	
	d) the machine–power supply interface.	
5.3.4	Time limits	
	Aspects of time limits to be taken into account include	Pass
	a) the life limit of the machinery and/or of some of its components (tooling,	
	parts that can wear, electromechanical components, etc.), taking into account	
	its intended use and reasonably foreseeable misuse, and	
	b) recommended service intervals.	
5.3.5	Other limits	
	Examples of other limits include	Pass
	a) properties of the material(s) to be processed,	
	b) housekeeping — the level of cleanliness required, and	
	c) environmental — the recommended minimum and maximum temperatures,	
	whether the machine can be	
	operated indoors or outdoors, in dry or wet weather, in direct sunlight,	
	tolerance to dust and wet, etc.	
5.4	Hazard identification	
	After determination of the limits of the machinery, the essential step in any	Pass
	risk assessment of the machinery is the systematic identification of	
	reasonably foreseeable hazards (permanent hazards and those which can	

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	appear unexpectedly), hazardous situations and/or hazardous events during	
	all phases of the machine life cycle, i.e.:	
	- transport, assembly and installation;	
	- commissioning;	
	- use;	
	- dismantling, disabling and scrapping.	
	Only when hazards have been identified can steps be taken to eliminate them	Pass
	or to reduce risks. To accomplish this hazard identification, it is necessary to	
	identify the operations to be performed by the machinery and the tasks to be	
	performed by persons who interact with it, taking into account the different	
	parts, mechanisms or functions of the machine, the materials to be	
	processed, if any, and the environment in which the machine can be used.	
	The designer shall identify hazards taking into account the following.	
_	a) Human interaction during the whole life cycle of the machine	Pass
	Task identification should consider all tasks associated with every phase of	
	the machine life cycle as given above. Task identification should also take	
	into account, but not be limited to, the following task categories:	
	- setting;	
	- testing;	
	- teaching/programming;	
	- process/tool changeover;	
	- start-up;	
	- all modes of operation;	
	- feeding the machine;	
	- removal of product from machine;	
	- stopping the machine;	
	- stopping the machine in case of emergency;	
	- recovery of operation from jam or blockage;	
	- restart after unscheduled stop;	
	<ul> <li>fault-finding/trouble-shooting (operator intervention);</li> </ul>	
	- cleaning and housekeeping;	
	- preventive maintenance;	
	- corrective maintenance.	
	All reasonably foreseeable hazards, hazardous situations or hazardous	Pass
	events associated with the various tasks shall then be identified. Annex B	
	gives examples of hazards, hazardous situations and hazardous events to	
	assist in this process. Several methods are available for the systematic	
	identification of hazards. See also ISO/TR 14121-2.	

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	In addition, reasonably foreseeable hazards, hazardous situations or	Pass
	hazardous events not directly related to tasks shall be identified.	
	EXAMPLE Seismic events, lightning, excessive snow loads, noise, break-up	Pass
	of machinery, hydraulic hose burst.	
	b) Possible states of the machine	
	These are as follows:	Pass
	1) the machine performs the intended function (the machine operates	
	normally);	
	2) the machine does not perform the intended function (i.e. it malfunctions)	
	due to a variety of reasons,	
	including	
	- variation of a property or of a dimension of the processed material or of the	
	workpiece,	
	- failure of one or more of its component parts or services,	
	- external disturbances (for example, shocks, vibration, electromagnetic	
	interference),	
	- design error or deficiency (for example, software errors),	
	- disturbance of its power supply, and	
	- surrounding conditions (for example, damaged floor surfaces).	
	c) Unintended behaviour of the operator or reasonably foreseeable	
	misuse of the machine	
	Examples include	Pass
	- loss of control of the machine by the operator (especially for hand-held or	
	mobile machines),	
	- reflex behaviour of a person in case of malfunction, incident or failure during	
	the use of the machine,	
	- behaviour resulting from lack of concentration or carelessness,	
	- behaviour resulting from taking the "line of least resistance" in carrying out a	
	task,	
	- behaviour resulting from pressures to keep the machine running in all	
	circumstances, and	
	- behaviour of certain persons (for example, children, disabled persons).	
5.5	Risk estimation	
5.5.1	General	
	After hazard identification, risk estimation shall be carried out for each	Pass
	hazardous situation by determining the elements of risk given in 5.5.2. When	
	determining these elements, it is necessary to take into account the aspects	
	given in 5.5.3.	

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	If standardized (or other suitable) measurement methods exist for an	Pass
	emission, they should be used, in conjunction with existing machinery or	
	prototypes, to determine emission values and comparative emission data.	
	This makes it possible for the designer to	
	- estimate the risk associated with the emissions,	
	- evaluate the effectiveness of the protective measures implemented at the	
	design stage,	
	- provide potential buyers with quantitative information on emissions in the	
	technical documentation, and	
	- provide users with quantitative information on emissions in the information	
	for use.	
	Hazards other than emissions that are described by measurable parameters	Pass
	can be dealt with in a similar manner.	
5.5.2	Elements of risk	
5.5.2.1	General	
	The risk associated with a particular hazardous situation depends on the	Pass
	following elements:	
	a) the severity of harm;	
	b) the probability of occurrence of that harm, which is a function of	
	1) the exposure of person(s) to the hazard,	
	2) the occurrence of a hazardous event, and	
	3) the technical and human possibilities to avoid or limit the harm.	
	The elements of risk are shown in Figure 3. Additional details are given in	
	5.5.2.2, 5.5.2.3 and 5.5.3.	
	PROBABILITY OF OCCURRENCE of that harm	
	RISK     OF HARM     Exposure of person(s) to the hazard       related to the considered hazard     is a function of the considered hazard     and the considered hazard     that can result from the considered hazard     and the occurrence of a hazardous event	
5.5.2.2	Figure 3 — Elements of risk	
	The severity can be estimated by taking into account the following:	
	a) the severity of injuries or damage to health, for example,	N/A

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	- slight,	
	- serious,	
	- death.	
	b) the extent of harm, for example, to	N/A
	- one person,	
	- several persons.	
	When carrying out a risk assessment, the risk from the most likely severity of	N/A
	the harm that is likely to occur from each identified hazard shall be	
	considered, but the highest foreseeable severity shall also be taken into	
	account, even if the probability of such an occurrence is not high.	
5.5.2.3	Probability of occurrence of harm	
5.5.2.3.1	Exposure of persons to the hazard	
	The exposure of a person to the hazard influences the probability of the	Pass
	occurrence of harm. Factors to be taken into account when estimating the	
	exposure are, among others,	
	a) the need for access to the hazard zone (for normal operation, correction of	
	malfunction, maintenance or repair, etc.),	
	b) the nature of access (for example, manual feeding of materials),	
	c) the time spent in the hazard zone,	
	d) the number of persons requiring access, and	
	e) the frequency of access.	
5.5.2.3.2	Occurrence of a hazardous event	
	The occurrence of a hazardous event influences the probability of occurrence	Pass
	of harm. Factors to be taken into account when estimating the occurrence of	
	a hazardous event are, among others,	
	a) reliability and other statistical data,	
	b) accident history,	
	c) history of damage to health, and	
	d) comparison of risks (see 5.6.3).	
5.5.2.3.3	Possibility of avoiding or limiting harm	
	The possibility of avoiding or limiting harm influences the probability of	
	occurrence of harm. Factors to be taken into account when estimating the	
	possibility of avoiding or limiting harm are, among others, the following:	
	a) different persons who can be exposed to the hazard(s), for example,	Pass
	- skilled,	
	- unskilled;	
	b) how quickly the hazardous situation could lead to harm, for example,	N/A
	- suddenly,	

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	- quickly,	
	- slowly;	
	c) any awareness of risk, for example,	Pass
	- by general information, in particular, information for use,	
	- by direct observation,	
	- through warning signs and indicating devices, in particular, on the	
	machinery;	
	d) the human ability to avoid or limit harm (for example, reflex, agility,	Pass
	possibility of escape);	
	e) practical experience and knowledge, for example,	Pass
	- of the machinery,	
	- of similar machinery,	
	- no experience.	
5.5.3	Aspects to be considered during risk estimation	
5.5.3.1	Persons exposed	
	Risk estimation shall take into account all persons (operators and others) for	Pass
	whom exposure to the hazard is reasonably foreseeable.	
5.5.3.2	Type, frequency and duration of exposure	
	The estimation of the exposure to the hazard under consideration (including	Pass
	long-term damage to health) requires analysis of, and shall account for, all	
	modes of operation of the machinery and methods of working. In particular,	
	the analysis shall account for the needs for access during loading/unloading,	
	setting, teaching, process changeover or correction, cleaning, fault-finding	
	and maintenance.	
	The risk estimation shall also take into account tasks, for which it is	Pass
	necessary to suspend protective measures.	
5.5.3.3	Relationship between exposure and effects	
	The relationship between an exposure to a hazard and its effects shall be	Pass
	taken into account for each hazardous situation considered. The effects of	
	accumulated exposure and combinations of hazards shall also be	
	considered. When considering these effects, risk estimation shall, as far as	
	practicable, be based on appropriate recognized data.	
	NOTE 1 Accident data can assist in establishing the probability and severity	Pass
	of injury associated with the use of a particular type of machinery with a	
	particular type of protective measure.	
	NOTE 2 Zero accident data is, however, no guarantee of the low probability	
	and severity of an injury.	
5.5.3.4	Human factors	

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	Human factors can affect risk and shall be taken into account in the risk	
	estimation, including, for example,	
	a) the interaction of person(s) with the machinery, including correction of	Pass
	malfunction,	
	b) interaction between persons,	
	c) stress-related aspects,	
	d) ergonomic aspects,	
	e) the capacity of persons to be aware of risks in a given situation depending	
	on their training, experience and ability,	
	f) fatigue aspects, and	
	g) aspects of limited abilities (due to disability, age, etc.).	
	Training, experience and ability can affect risk; nevertheless, none of these	Pass
	factors shall be used as a substitute for hazard elimination, risk reduction by	
	inherently safe design measure or safeguarding, wherever	
	these protective measures can be practicably implemented.	
5.5.3.5	Suitability of protective measures	
	Risk estimation shall take into account the suitability of protective measures	Pass
	and shall	
	a) identify the circumstances which can result in harm,	
	b) whenever appropriate, be carried out using quantitative methods to	
	compare alternative protective measures (see ISO/TR 14121-2), and	
	c) provide information that can assist with the selection of appropriate	
	protective measures.	
	When estimating risk, those components and systems identified as	Pass
	immediately increasing the risk in case of failure need special attention.	
	When protective measures include work organization, correct behaviour,	
	attention, application of personal protective equipment (PPE), skill or training,	
	the relatively low reliability of such measures compared with proven technical	
	protective measures shall be taken into account in the risk estimation.	
5.5.3.6	Possibility of defeating or circumventing protective measures	
	For the continued safe operation of a machine, it is important that the	N/A
	protective measures allow its easy use and do not hinder its intended use.	
	Otherwise, there is a possibility that protective measures might be bypassed	
	in order for maximum utility of the machine to be achieved.	
	Risk estimation shall take account of the possibility of defeating or	N/A
	circumventing protective measures. It shall also take account of the incentive	
	to defeat or circumvent protective measures when, for example,	
	a) the protective measure slows down production or interferes with another	

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	activity or preference of the user,	
	b) the protective measure is difficult to use,	
	c) persons other than the operator are involved, or	
	d) the protective measure is not recognized by the user or not accepted as	
	being suitable for its function.	
	Whether or not a protective measure can be defeated depends on both the	N/A
	type of protective measure, such as an adjustable guard or programmable	
	trip device, and its design details.	
	Protective measures that use programmable electronic systems introduce	N/A
	additional possibilities of defeat or circumvention if access to safety-related	
	software is not appropriately restricted by design and monitoring methods.	
	Risk estimation shall identify where safety-related functions are not separated	
	from other machine functions and shall determine the extent to which access	
	is possible. This is particularly important when remote access for diagnostic	
	or process correction purposes is required.	
5.5.3.7	Ability to maintain protective measures	
	Risk estimation shall consider whether the protective measures can be	Pass
	maintained in the condition necessary to provide the required level of	
	protection.	
	NOTE If the protective measure cannot easily be maintained in correct	Pass
	working order, this can encourage the defeat or circumvention of the	
	protective measure in order to allow continued use of the machinery.	
5.5.3.8	Information for use	
	Risk estimation shall take into account the information for use, as available.	Pass
	See also 6.4.	
5.6	Risk evaluation	
5.6.1	General	
	After risk estimation has been completed, risk evaluation shall be carried out	Pass
	to determine if risk reduction is required. If risk reduction is required, then	
	appropriate protective measures shall be selected and applied (see	
	Clause 6). As shown in Figure 1, the adequacy of the risk reduction shall be	
	determined after applying each of the three steps of risk reduction described	
	in Clause 6. As part of this iterative process, the designer shall also check	
	whether additional hazards are introduced or other risks increased when new	
	protective measures are applied. If additional hazards do occur, they shall be	
	added to the list of identified hazards and appropriate protective measures	
	will be required to address them.	
	Achieving the objectives of risk reduction and a favourable outcome of risk	Pass

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6.1	General	
6	Risk reduction	
	associated with the different material shall be assessed.	
	meat is compared with a band saw used for cutting wood, the risks	
	specific conditions of use. For example, when a band saw used for cutting	
	risk assessment process as described in this International Standard for the	
	The use of this comparison method does not eliminate the need to follow the	Pass
	- the conditions for use are comparable.	
	- the technical specifications are comparable;	
	- the hazards and the elements of risk are comparable;	
	machines are designed and constructed are comparable;	
	- the intended use, reasonably foreseeable misuse and the way both	
	- the similar machinery is in accordance with the relevant type-C standard(s);	
	machinery or parts of machinery, provided the following criteria apply:	
	machinery or parts of machinery can be compared with those of similar	
	As part of the process of risk evaluation, the risks associated with the	Pass
.6.3	Comparison of risks	
	conditions or the usability of the machine.	
	- the protective measures do not adversely affect the operator's working	
	designed for professional/industrial use, and	
	from the use in a nonprofessional/ non-industrial context of a machine	
	- sufficient consideration has been given to the consequences that can arise	
	- protective measures are compatible with one another,	
	step 3),	
	- users are sufficiently informed and warned about the residual risks (see 6.1,	
	addressed,	
	- any new hazards introduced by the protective measures have been properly	
	level,	
	- the hazards have been eliminated or risks reduced to the lowest practicable	
	considered,	
	- all operating conditions and all intervention procedures have been	
	achieved when	
	Following the application of the three-step method, adequate risk reduction is	Pass
	adequate risk reduction.	1 433
.0.2	Application of the three-step method described in 6.1 is essential in achieving	 Pass
.6.2	Adequate risk reduction	
	comparison applied when practicable gives confidence that risk has been adequately reduced.	

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The objective of risk reduction can be achieved by the elimination of hazards,	Pass
or by separately or simultaneously reducing each of the two elements that	
determine the associated risk:	
- severity of harm from the hazard under consideration;	
- probability of occurrence of that harm.	
All protective measures intended for reaching this objective shall be applied	Pass
in the following sequence, referred to as the three-step method (see also	
Figures 1 and 2).	
Step 1: Inherently safe design measures	
Inherently safe design measures eliminate hazards or reduce the associated	Pass
risks by a suitable choice of design features of the machine itself and/or	
NOTE 1 This stage is the only one at which hazards can be eliminated, thus	
	Pass
·	
	Pass
Information for use shall not be a substitute for the correct application of	Daaa
Information for use shall not be a substitute for the correct application of inherently safe design measures, safeguarding or complementary protective	Pass
	or by separately or simultaneously reducing each of the two elements that determine the associated risk: - severity of harm from the hazard under consideration; - probability of occurrence of that harm.All protective measures intended for reaching this objective shall be applied in the following sequence, referred to as the three-step method (see also Figures 1 and 2).Step 1: Inherently safe design measuresInherently safe design measures eliminate hazards or reduce the associated risks by a suitable choice of design features of the machine itself and/or interaction between the exposed persons and the machine. See 6.2.

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	NOTE 2 Adequate protective measures associated with each of the operating	
	modes and intervention procedures reduce the possibility of operators being	
	induced to use hazardous intervention techniques in case of technical	
	difficulties.	
6.2	Inherently safe design measures	
6.2.1	General	
	Inherently safe design measures are the first and most important step in the	Pass
	risk reduction process. This is because protective measures inherent to the	
	characteristics of the machine are likely to remain effective, whereas	
	experience has shown that even well-designed safeguarding can fail or be	
	violated and information for use may not be followed.	
	Inherently safe design measures are achieved by avoiding hazards or	Pass
	reducing risks by a suitable choice of design features for the machine itself	
	and/or interaction between the exposed persons and the machine.	
	NOTE See 6.3 for safeguarding and complementary measures that can be	
	used to achieve the risk reduction objectives in the case where inherently	
	safe design measures are not sufficient (see 6.1 for the three-step method).	
6.2.2	Consideration of geometrical factors and physical aspects	
6.2.2.1	Geometrical factors	
	Such factors include the following.	
	a) The form of machinery is designed to maximize direct visibility of the	Pass
	working areas and hazard zones from the control position — reducing blind	
	spots, for example — and choosing and locating means of indirect vision	
	where necessary (mirrors, etc.) so as to take into account the characteristics	
	of human vision, particularly when safe operation requires permanent direct	
	control by the operator, for example:	
	- the travelling and working area of mobile machines;	
	- the zone of movement of lifted loads or of the carrier of machinery for lifting	
	persons;	
	- the area of contact of the tool of a hand-held or hand-guided machine with	
	the material being worked.	
	The design of the machine shall be such that, from the main control position,	Pass
	the operator is able to ensure that there are no exposed persons in the	
	danger zones.	
	b) The form and the relative location of the mechanical components parts: for	Pass
	instance, crushing and shearing hazards are avoided by increasing the	
	minimum gap between the moving parts, such that the part of the body under	
	consideration can enter the gap safely, or by reducing the gap so that no part	

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	of the body can enter it (see ISO 13854 and ISO 13857).	
	c) Avoiding sharp edges and corners, protruding parts: in so far as their	Pass
	purpose allows, accessible parts of the machinery shall have no sharp edges,	
	no sharp angles, no rough surfaces, no protruding parts likely to cause injury,	
	and no openings which can "trap" parts of the body or clothing. In particular,	
	sheet metal edges shall be deburred, flanged or trimmed, and open ends of	
	tubes which can cause a "trap" shall be capped.	
	d) The form of the machine is designed so as to achieve a suitable working	Pass
	position and provide accessible manual controls (actuators).	
6.2.2.2	Physical aspects	
	Such aspects include the following:	Pass
	a) limiting the actuating force to a sufficiently low value so that the actuated	
	part does not generate a mechanical hazard;	
	b) limiting the mass and/or velocity of the movable elements, and hence their	
	kinetic energy;	
	c) limiting the emissions by acting on the characteristics of the source using	
	measures for reducing	
	1) noise emission at source (see ISO/TR 11688-1),	
	2) the emission of vibration at source, such as redistribution or addition of	
	mass and changes of process parameters [for example, frequency and/or	
	amplitude of movements (for hand-held and hand-guided machinery, see CR	
	1030-1)],	
	3) the emission of hazardous substances, including the use of less hazardous	
	substances or dust-reducing processes (granules instead of powders, milling instead of grinding), and	
	4) radiation emissions, including, for example, avoiding the use of hazardous	
	radiation sources, limiting the power of radiation to the lowest level sufficient	
	for the proper functioning of the machine, designing the source so that the	
	beam is concentrated on the target, increasing the distance between the	
	source and the operator or providing for remote operation of the machinery	
	[measures for reducing emission of non-ionizing radiation are given in 6.3.4.5	
	(see also EN 12198-1 and EN 12198-3)].	
6.2.3	Taking into account general technical knowledge of machine design	
	This general technical knowledge can be derived from technical	
	specifications for design (standards, design codes, calculation rules, etc.),	
	which should be used to cover	
	mechanical stresses such as	Pass
	- stress limitation by implementation of correct calculation, construction and	

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	fastening methods as	
	regards, for example, bolted assemblies and welded assemblies,	
	- stress limitation by overload prevention (bursting disk, pressure-limiting	
	valves, breakage points,	
	torque-limiting devices, etc.),	
	- avoiding fatigue in elements under variable stresses (notably cyclic	
	stresses), and	
	- static and dynamic balancing of rotating elements,	
	b) materials and their properties such as	Pass
	- resistance to corrosion, ageing, abrasion and wear,	
	- hardness, ductility, brittleness,	
	- homogeneity,	
	- toxicity, and	
	- flammability, and	
	c) emission values for	Pass
	- noise,	
	- vibration,	
	- hazardous substances, and	
	- radiation.	
	When the reliability of particular components or assemblies is critical for	Pass
	safety (for example, ropes, chains, lifting accessories for lifting loads or	
	persons), stress limits shall be multiplied by appropriate working coefficients.	
6.2.4	Choice of appropriate technology	
	One or more hazards can be eliminated or risks reduced by the choice of the	
	technology to be used in certain applications such as the following:	
	a) on machines intended for use in explosive atmospheres, using	N/A
	- appropriately selected pneumatic or hydraulic control system and machine	
	actuators,	
	- intrinsically safe electrical equipment (see IEC 60079-11);	
	b) for particular products to be processed (for example, by a solvent), by	N/A
	using equipment that ensures the temperature will remain far below the flash	
	point;	
	c) the use of alternative equipment to avoid high noise levels, such as	N/A
	- electrical instead of pneumatic equipment,	
	- in certain conditions, water-cutting instead of mechanical equipment.	
6.2.5	Applying principle of positive mechanical action	
	Positive mechanical action is achieved when a moving mechanical	Pass
	component inevitably moves another component along with it, either by direct	

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	contact or via rigid elements. An example of this is positive opening operation	
	of switching devices in an electrical circuit (see IEC 60947-5-1 and ISO	
	14119).	
	NOTE Where a mechanical component moves and thus allows a second	Pass
	component to move freely (for example, by gravity or spring force), there is	
	no positive mechanical action of the first component on the second.	
6.2.6	Provisions for stability	
	Machines shall be designed so that they have sufficient stability to allow them	Pass
	to be used safely in their specified conditions of use. Factors to be taken into	
	account include	
	- the geometry of the base,	
	- the weight distribution, including loading,	
	- the dynamic forces due to movements of parts of the machine, of the	
	machine itself or of elements held by the machine which can result in an	
	overturning moment,	
	- vibration,	
	- oscillations of the centre of gravity,	
	- characteristics of the supporting surface in case of travelling or installation	
	on different sites (ground conditions, slope, etc.), and	
	- external forces, such as wind pressure and manual forces.	
	Stability shall be considered in all phases of the life cycle of the machine,	Pass
	including handling, travelling, installation, use, dismantling, disabling and	
	scrapping.	
	Other protective measures for stability relevant to safeguarding are given in	Pass
	6.3.2.6.	
6.2.7	Provisions for maintainability	
	When designing a machine, the following maintainability factors shall be	Pass
	taken into account to enable maintenance of the machine:	
	- accessibility, taking into account the environment and the human body	
	measurements, including the dimensions of the working clothes and tools	
	used;	
	- ease of handling, taking into account human capabilities;	
	- limitation of the number of special tools and equipment.	
6.2.8	Observing ergonomic principles	
	Ergonomic principles shall be taken into account in designing machinery so	Pass
	as to reduce the mental or physical stress of, and strain on, the operator.	
	These principles shall be considered when allocating functions to operator	
	and machine (degree of automation) in the basic design.	

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	NOTE Also improved are the performance and reliability of operation and	
	hence the reduction in the probability of errors at all stages of machine use.	
	Account shall be taken of body sizes likely to be found in the intended user	Pass
	population, strengths and postures, movement amplitudes, frequency of	
	cyclic actions (see ISO 10075 and ISO 10075-2).	
	All elements of the operator-machine interface, such as controls, signalling or	Pass
	data display elements, shall be designed to be easily understood so that clear	
	and unambiguous interaction between the operator and the machine is	
	possible. See EN 614-1, EN 13861 and IEC 61310-1.	
	The designer's attention is particularly drawn to following ergonomic aspects	
	of machine design.	
	a) Avoid the necessity for stressful postures and movements during the use	Pass
	of the machine (for example, providing facilities to adjust the machine to suit	
	the various operators).	
	b) Design machines, especially hand-held and mobile machines, so as to	Pass
	enable them to be operated easily, taking into account human effort,	
	actuation of controls and hand, arm and leg anatomy.	
	c) Limit as far as possible noise, vibration and thermal effects such as	Pass
	extreme temperatures.	
	d) Avoid linking the operator's working rhythm to an automatic succession of	Pass
	cycles.	
	e) Provide local lighting on or in the machine for the illumination of the	Pass
	working area and of adjusting, setting-up and frequent maintenance zones	
	when the design features of the machine and/or its guards render the	
	ambient lighting inadequate. Flicker, dazzling, shadows and stroboscopic	
	effects shall be avoided if they can cause a risk. If the position or the lighting	
	source has to be adjusted, its location shall be such that it does not cause	
	any risk to persons making the adjustment.	
	f) Select, locate and identify manual controls (actuators) so that	Pass
	- they are clearly visible and identifiable, and appropriately marked where	
	necessary (see 6.4.4),	
	- they can be safely operated without hesitation or loss of time and without	
	ambiguity (for example, a standard layout of controls reduces the possibility	
	of error when an operator changes from a machine to another one of similar	
	type having the same pattern of operation),	
	- their location (for push-buttons) and their movement (for levers and hand	
	wheels) are consistent with their effect (see IEC 61310-3), and	
	- their operation cannot cause additional risk.	

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	See also ISO 9355-3	
	Where a control is designed and constructed to perform several different	N/A
	actions — namely, where there is no one-to-one correspondence (for	
	example, keyboards) — the action to be performed shall be clearly displayed	
	and subject to confirmation where necessary.	
	Controls shall be so arranged that their layout, travel and resistance to	Pass
	operation are compatible with the action to be performed, taking account of	
	ergonomic principles. Constraints due to the necessary or foreseeable use of	
	personal protective equipment (such as footwear, gloves) shall be taken into	
	account.	
	g) Select, design and locate indicators, dials and visual display units so that	Pass
	- they fit within the parameters and characteristics of human perception,	
	- information displayed can be detected, identified and interpreted	
	conveniently, i.e. long-lasting, distinct, unambiguous and understandable with	
	respect to the operator's requirements and the intended use, and	
	- the operator is able to perceive them from the control position.	
6.2.9	Electrical hazards	
	For the design of the electrical equipment of machines, IEC 60204-1 gives	N/A
	general provisions about disconnection and switching of electrical circuits and	
	for protection against electric shock. For requirements related to specific	
	machines, see corresponding IEC standards (for example, IEC 61029, IEC	
	60745 or IEC 60335).	
6.2.10	Pneumatic and hydraulic hazards	
	Pneumatic and hydraulic equipment of machinery shall be designed so that	
	- the maximum rated pressure cannot be exceeded in the circuits (using, for	N/A
	example, pressure-limiting devices),	
	- no hazard results from pressure fluctuations or increases, or from loss of	
	pressure or vacuum,	
	- no hazardous fluid jet or sudden hazardous movement of the hose	
	(whiplash) results from leakage or component failures,	
	- air receivers, air reservoirs or similar vessels (such as in gas-loaded	
	accumulators) comply with the applicable design standard codes or	
	regulations for these elements,	
	- all elements of the equipment, especially pipes and hoses, are protected	
	against harmful external effects,	
	- as far as possible, reservoirs and similar vessels (for example, gas-loaded	
	accumulators) are automatically depressurized when isolating the machine	
	from its power supply (see 6.3.5.4) and, if not possible, means are provided	

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	for their isolation, local depressurizing and pressure indication (see also ISO 14118:2000, Clause 5), and	
	- all elements which remain under pressure after isolation of the machine	
	from its power supply are provided with clearly identified exhaust devices,	
	and there is a warning label drawing attention to the necessity of	
	depressurizing those elements before any setting or maintenance activity on	
	the machine.	
	NOTE See also ISO 4413 and ISO 4414.	
5.2.11	Applying inherently safe design measures to control systems	
.2.11.1	General	
	The design measures of the control system shall be chosen so that their	Pass
	safety-related performance provides a sufficient amount of risk reduction (see	
	ISO 13849-1 or IEC 62061).	
	The correct design of machine control systems can avoid unforeseen and	Pass
	potentially hazardous machine behaviour.	
	Typical causes of hazardous machine behaviour are	Pass
	- an unsuitable design or modification (accidental or deliberate) of the control	
	system logic,	
	- a temporary or permanent defect or failure of one or several components of	
	the control system,	
	- a variation or a failure in the power supply of the control system, and	
	- inappropriate selection, design and location of the control devices.	
	Typical examples of hazardous machine behaviour are	Pass
	- unexpected start-up (see ISO 14118),	
	- uncontrolled speed change,	
	- failure to stop moving parts,	
	- dropping or ejection of part of the machine or of a workpiece clamped by the	
	machine, and	
	- machine action resulting from inhibition (defeating or failure) of protective	
	devices.	
	In order to prevent hazardous machine behaviour and to achieve safety	Pass
	functions, the design of control systems shall comply with the principles and	
	methods presented in this subclause (6.2.11) and in 6.2.12. These principles	
	and methods shall be applied singly or in combination as appropriate to the	
	circumstances (see ISO 13849-1, EN 60204-1 and EN 62061).	
	Control systems shall be designed to enable the operator to interact with the	Pass
	machine safely and easily. This	
	requires one or several of the following solutions:	

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<ul> <li>systematic analysis of start and stop conditions;</li> <li>provision for specific operating modes (for example, start-up after normal stop, restart after cycle interruption or after emergency stop, removal of the start after cycle interruption or after emergency stop, removal of the start after cycle interruption or after emergency stop, removal of the start after cycle interruption or after emergency stop.</li> </ul>	
workpieces contained in the machine, operation of a part of the machine in case of a failure of a machine element); - clear display of the faults;	
<ul> <li>measures to prevent accidental generation of unexpected start commands (for example, shrouded start device) likely to cause dangerous machine behaviour (see ISO 14118:2000, Figure 1);</li> </ul>	
- maintained stop commands (for example, interlock) to prevent restarting that could result in dangerous machine behaviour (see ISO 14118:2000, Figure 1).	
An assembly of machines may be divided into several zones for emergency stopping, for stopping as a result of protective devices and/or for isolation and energy dissipation. The different zones shall be clearly defined and it shall be obvious which parts of the machine belong to which zone. Likewise, it shall be obvious which control devices (for example, emergency stop devices, supply disconnecting devices) and/or protective devices belong to which zone. The interfaces between zones shall be designed such that no function in one zone creates hazards in another zone which has been stopped for an intervention.	Pass
Control systems shall be designed to limit the movements of parts of the machinery, the machine itself, or workpieces and/or loads held by the machinery, to the safe design parameters (for example, range, speed, acceleration, deceleration, load capacity). Allowance shall be made for dynamic effects (swinging of loads, etc.).	Pass
<ul> <li>For example:</li> <li>the travelling speed of mobile pedestrian controlled machinery other than remote-controlled shall be compatible with walking speed;</li> <li>the range, speed, acceleration and deceleration of movements of the person-carrier and carrying vehicle for lifting persons shall be limited to nonhazardous values, taking into account the total reaction time of the operator and the machine;</li> <li>the range of movements of parts of machinery for lifting loads shall be kept within specified limits.</li> <li>When the machinery contains various elements that can be operated independently, the control system shall</li> </ul>	Pass
	<ul> <li>measures to prevent accidental generation of unexpected start commands (for example, shrouded start device) likely to cause dangerous machine behaviour (see ISO 14118:2000, Figure 1);</li> <li>maintained stop commands (for example, interlock) to prevent restarting that could result in dangerous machine behaviour (see ISO 14118:2000, Figure 1).</li> <li>An assembly of machines may be divided into several zones for emergency stopping, for stopping as a result of protective devices and/or for isolation and energy dissipation. The different zones shall be clearly defined and it shall be obvious which parts of the machine belong to which zone. Likewise, it shall be obvious which control devices (for example, emergency stop devices, supply disconnecting devices) and/or protective devices belong to which zone. The interfaces between zones shall be designed such that no function in one zone creates hazards in another zone which has been stopped for an intervention.</li> <li>Control systems shall be designed to limit the movements of parts of the machinery, the machine itself, or workpieces and/or loads held by the machinery, to the safe design parameters (for example, range, speed, acceleration, deceleration, load capacity). Allowance shall be made for dynamic effects (swinging of loads, etc.).</li> <li>For example:     <ul> <li>the travelling speed of mobile pedestrian controlled machinery other than remote-controlled shall be compatible with walking speed;</li> <li>the range, speed, acceleration and deceleration of movements of the person-carrier and carrying vehicle for lifting persons shall be limited to nonhazardous values, taking into account the total reaction time of the operator and the machine;</li> <li>the range of movements of parts of machinery for lifting loads shall be kept within specified limits.</li> </ul> </li> </ul>

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	collision prevention system).	
6.2.11.2	Starting of an internal power source/switching on an external power supply	
	The starting of an internal power source or switching-on of an external power supply shall not result in a hazardous situation.	Pass
	For example: - starting the internal combustion engine shall not lead to movement of a	Pass
	mobile machine; - connection to mains electricity supply shall not result in the starting of working parts of a machine. See IEC 60204-1:2005, 7.5 (see also Annexes A and B).	
6.2.11.3	Starting/stopping of a mechanism	
	The primary action for starting or accelerating the movement of a mechanism should be performed by the application or an increase of voltage or fluid pressure, or — if binary logic elements are considered — by passage from state 0 to state 1 (where state 1 represents the highest energy state).	Pass
	The primary action for stopping or slowing down should be performed by removal or reduction of voltage or fluid pressure, or — if binary logic elements are considered — by passage from state 1 to state 0 (where state 1 represents the highest energy state).	N/A
	In certain applications, such as high-voltage switchgear, this principle cannot be followed, in which case other measures should be applied to achieve the same level of confidence for the stopping or slowing down.	N/A
	When, in order for the operator to maintain permanent control of deceleration, this principle is not observed (for example, a hydraulic braking device of a self-propelled mobile machine), the machine shall be equipped with a means of slowing and stopping in case of failure of the main braking system.	Pass
6.2.11.4	Restart after power interruption	
	If a hazard could be generated, the spontaneous restart of a machine when it is re-energized after power interruption shall be prevented (for example, by use of a self-maintained relay, contactor or valve).	Pass
6.2.11.5	Interruption of power supply	
	Machinery shall be designed to prevent hazardous situations resulting from interruption or excessive fluctuation of the power supply. At least the following requirements shall be met:	Pass
	<ul> <li>the stopping function of the machinery shall remain;</li> <li>all devices whose permanent operation is required for safety shall operate in an effective way to maintain safety (for example, locking, clamping devices,</li> </ul>	

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	The programmable electronic control system should be installed and	Pass
	provide guidance applicable to programmable electronic control systems.	
	NOTE Both ISO 13849-1 and IEC 62061, specific to machinery safety,	
	guidance).	
	a fault shall be considered (see also the IEC 61508 series for further	
	system performs a monitoring function, the system behaviour on detection of	
	function(s) is sufficiently low. Where a programmable electronic control	
	probability of random hardware failures and the likelihood of systematic failures that can adversely affect the performance of the safety-related control	
	design of the programmable electronic control system shall be such that the	
	requirements in relation to the requirements for the safety functions. The	
	control system is used, it is necessary to consider its performance	
	implement safety functions at machinery. Where a programmable electronic	
	example, programmable controllers) can, where appropriate, be used to	
	A control system that includes programmable electronic equipment (for	Pass
.2.11.7.1	General	
	systems	
.2.11.7	Safety functions implemented by programmable electronic control	
	- the triggering of an alarm.	
	or	
	- preventing the restart of this process after the first stop following the failure,	
	- the stopping of the hazardous process,	
	The protective measure may be, for example,	N/A
	machine cycle).	
	or delayed until a specific event occurs (for example, the beginning of the	
	function. In either case, the protective measure can be initiated immediately	
	checks so that a fault is detected before the next demand upon the safety	
	Automatic monitoring either detects a fault immediately or carries out periodic	N/A
	process conditions are changed such that hazards are generated.	
	of a component or an element to perform its function is diminished, or if the	
	implemented by a protective measure do not fail to be performed if the ability	11/A
.2.11.0	Automatic monitoring is intended to ensure that a safety function or functions	 N/A
.2.11.6	necessary to allow them to be safely lowered. Use of automatic monitoring	
	liable to move as a result of potential energy shall be retained for the time	
	- parts of machinery or workpieces and/or loads held by machinery which are	
	machinery);	
	cooling or heating devices, power-assisted steering of self-propelled mobile	

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	validated to ensure that the specified performance [for example, safety	
	integrity level (SIL) in IEC 61508] for each safety function has been achieved.	
	Validation comprises testing and analysis (for example, static, dynamic or	
	failure analysis) to show that all parts interact correctly to perform the safety	
	function and that unintended functions do not occur.	
.2.11.7.2	Hardware aspects	
	The hardware (including, for example, sensors, actuators and logic solvers) shall be selected, and/or designed	Pass
	and installed, to meet both the functional and performance requirements of	
	the safety function(s) to be performed, in particular, by means of	
	- architectural constraints (the configuration of the system, its ability to	
	tolerate faults, its behaviour on detection of a fault, etc.),	
	- selection, and/or design, of equipment and devices with an appropriate	
	probability of dangerous random hardware failure, and	
	- the incorporation of measures and techniques within the hardware so as to	
	avoid systematic failures and control systematic faults.	
5.2.11.7.3	Software aspects	
	The software, including internal operating software (or system software) and	Pass
	application software, shall be designed so as to satisfy the performance	
	specification for the safety functions (see also IEC 61508-3).	
	Application software should not be reprogrammable by the user. This may be	Pass
	achieved by use of embedded software in a non-reprogrammable memory	
	[for example, micro-controller, application-specific integrated circuit (ASIC)].	
	When the application requires reprogramming by the user, the access to the	N/A
	software dealing with safety functions should be restricted (for example, by	
	locks or passwords for the authorized persons).	
.2.11.8	Principles relating to manual control	
	These are as follows.	
	a) Manual control devices shall be designed and located according to the	Pass
	relevant ergonomic principles given in 6.2.8, item f).	
	b) A stop control device shall be placed near each start control device. Where	Pass
	the start/stop function is performed by means of a hold-to-run control, a	
	separate stop control device shall be provided when a risk can result from the	
	hold-to-run control device failing to deliver a stop command when released.	
	c) Manual controls shall be located out of reach of the danger zones (see IEC	Pass
	61310-3), except for certain controls where, of necessity, they are located	
	within a danger zone, such as emergency stop or teach pendant.	
	d) Whenever possible, control devices and control positions shall be located	Pass

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	so that the operator is able to observe the working area or hazard zone.	
	1) The driver of a ride-on mobile machine shall be able to actuate all control	
	devices required to operate the machine from the driving position, except for	
	functions which can be controlled more safely from other positions.	
	2) On machinery intended for lifting persons, controls for lifting and lowering	
	and, if appropriate, for moving the carrier shall generally be located in the	
	carrier. If safe operation requires controls to be situated outside the carrier,	
	the operator in the carrier shall be provided with the means of preventing	
	hazardous movements.	
	e) If it is possible to start the same hazardous element by means of several	Pass
	controls, the control circuit shall be so arranged that only one control is	
	effective at a given time. This applies especially to machines which can be	
	manually controlled by means of, among others, a portable control unit (such	
	as a teach pendant), with which the operator can enter danger zones.	
	f) Control actuators shall be designed or guarded so that their effect, where a	Pass
	risk is involved, cannot occur without intentional operation (see ISO 9355-1,	
	ISO 9355-3 and ISO 447).	
	g) For machine functions whose safe operation depends on permanent, direct	Pass
	control by the operator, measures shall be implemented to ensure the	
	presence of the operator at the control position (for example, by the design	
	and location of control devices).	
	h) For cableless control, an automatic stop shall be performed when correct	N/A
	control signals are not received, including loss of communication (see IEC	
	60204-1)	
6.2.11.9	Control mode for setting, teaching, process changeover, fault-finding,	
	cleaning or maintenance	
	Where, for setting, teaching, process changeover, fault-finding, cleaning or	N/A
	maintenance of machinery, a guard has to be displaced or removed and/or a	
	protective device has to be disabled, and where it is necessary	
	for the purpose of these operations for the machinery or part of the machinery	
	to be put into operation, the safety of the operator shall be achieved using a	
	specific control mode which simultaneously	
	a) disables all other control modes,	
	b) permits operation of the hazardous elements only by continuous actuation	
	of an enabling device, a two-hand control device or a hold-to-run control	
	device,	
	,	
	c) permits operation of the hazardous elements only in reduced risk	

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	for example, with a limited movement control device), and	
	d) prevents any operation of hazardous functions by voluntary or involuntary	
	action on the machine's sensors.	
	NOTE For some special machinery other protective measures can be	
	appropriate.	
	This control mode shall be associated with one or more of the following	N/A
	measures:	
	- restriction of access to the danger zone as far as possible;	
	- emergency stop control within immediate reach of the operator;	
	- portable control unit (teach pendant) and/or local controls (allowing sight of	
	the controlled elements).	
	See IEC 60204-1.	N/A
6.2.11.10	Selection of control and operating modes	
	If machinery has been designed and built to allow for its use in several control	N/A
	or operating modes requiring different protective measures and/or work	
	procedures (for example, to allow for adjustment, setting, maintenance,	
	inspection), it shall be fitted with a mode selector which can be locked in each	
	position. Each position of the selector shall be clearly identifiable and shall	
	exclusively allow one control or operating mode.	
	The selector may be replaced by another selection means which restricts the	N/A
	use of certain functions of the machinery to certain categories of operators	
	(for example, access codes for certain numerically controlled functions).	
6.2.11.11	Applying measures to achieve electromagnetic compatibility (EMC)	
	For guidance on electromagnetic compatibility, see IEC 60204-1 and IEC	N/A
	61000-6.	
6.2.11.12	Provision of diagnostic systems to aid fault-finding	
	Diagnostic systems to aid fault-finding should be included in the control	N/A
	system so that there is no need to disable any protective measure.	
	NOTE Such systems not only improve availability and maintainability of	
	machinery, they also reduce the exposure of maintenance staff to hazards.	
6.2.12	Minimizing probability of failure of safety functions	
6.2.12.1	General	
	Safety of machinery is not only dependent on the reliability of the control	Pass
	systems but also on the reliability of all parts of the machine.	
	The continued operation of the safety functions is essential for the safe use of	Pass
	the machine. This can be achieved by the measures given in 6.2.12.2 to	
	6.2.12.4.	
6.2.12.2	Use of reliable components	

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	"Reliable components" means components which are capable of withstanding	Pass
	all disturbances and stresses associated with the usage of the equipment in	
	the conditions of intended use (including the environmental conditions), for	
	the period of time or the number of operations fixed for the use, with a low	
	probability of failures generating a hazardous malfunctioning of the machine.	
	Components shall be selected taking into account all factors mentioned	
	above (see also 6.2.13).	
	NOTE 1 "Reliable components" is not a synonym for "well-tried components"	
	(see ISO 13849-1:2006, 6.2.4).	
	NOTE 2 Environmental conditions for consideration include impact, vibration,	
	cold, heat, moisture, dust, corrosive and/or abrasive substances, static	
	electricity and magnetic and electric fields. Disturbances which can be	
	generated by those conditions include insulation failures and temporary or	
	permanent failures in the function of control system components.	
6.2.12.3	Use of "oriented failure mode" components	
	"Oriented failure mode" components or systems are those in which the	N/A
	predominant failure mode is known in advance and which can be used so	
	that the effect of such a failure on the machine function can be predicted.	
	NOTE In some cases, it will be necessary to take additional measures to limit	
	the negative effects of such a failure.	
	The use of such components should always be considered, particularly in	N/A
	cases where redundancy (see 6.2.12.4) is not employed.	
6.2.12.4	Duplication (or redundancy) of components or subsystems	
	In the design of safety-related parts of the machine, duplication (or	N/A
	redundancy) of components may be used so that, if one component fails,	
	another component or components continue to perform the respective	
	function(s), thereby ensuring that the safety function remains available.	
	In order to allow the proper action to be initiated, component failure shall be	N/A
	detected by automatic monitoring (see 6.2.11.6) or in some circumstances by	
	regular inspection, provided that the inspection interval is shorter than the	
	expected lifetime of the components.	
	Diversity of design and/or technology can be used to avoid common cause	N/A
	failures (for example, from electromagnetic disturbance) or common mode	
	failures.	
6.2.13	Limiting exposure to hazards through reliability of equipment	
	Increased reliability of all component parts of machinery reduces the	Pass
	frequency of incidents requiring intervention, thereby reducing exposure to	
	hazards.	

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	This applies to power systems (operative part, see Annex A) as well as to	Pass
	control systems, and to safety functions as well as to other functions of	
	machinery.	
	Safety-related components (for example, certain sensors) of known reliability shall be used.	Pass
	The elements of guards and of protective devices shall be especially reliable,	Pass
	as their failure can expose persons to hazards, and also because poor	
	reliability would encourage attempts to defeat them.	
6.2.14	Limiting exposure to hazards through mechanization or automation of	
	loading (feeding)/unloading (removal) operations	
	Mechanization and automation of machine loading/unloading operations and,	N/A
	more generally, of handling operations — of workpieces, materials or	
	substances — limits the risk generated by these operations by reducing the	
	exposure of persons to hazards at the operating points.	
	Automation can be achieved by, for example, robots, handling devices,	N/A
	transfer mechanisms and air-blast equipment. Mechanization can be	
	achieved by, for example, feeding slides, push-rods and hand-operated	
	indexing tables.	
	While automatic feeding and removal devices have much to offer in	N/A
	preventing accidents to machine operators, they can create danger when any	
	faults are being corrected. Care shall be taken to ensure that the use of these	
	devices does not introduce further hazards, such as trapping or crushing,	
	between the devices and parts of the machine or workpieces/materials being	
	processed. Suitable safeguards (see 6.3) shall be provided if this cannot be	
	ensured.	
	Automatic feeding and removal devices with their own control systems and	N/A
	the control system of the associated machine shall be interconnected after	
	thorough study of how all safety functions are performed in all the control and	
	operation modes of the entire equipment.	
6.2.15	Limiting exposure to hazards through location of setting and	
	maintenance points outside danger zones	
	The need for access to danger zones shall be minimized by locating	Pass
	maintenance, lubrication and setting points outside these zones.	
6.3	Safeguarding and complementary protective measures	
6.3.1	General	
	Guards and protective devices shall be used to protect persons whenever an	Pass
	inherently safe design measure does not reasonably make it possible either	
	to remove hazards or to sufficiently reduce risks. Complementary protective	

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	measures involving additional equipment (for example, emergency stop	
	equipment) may have to be implemented.	
	NOTE The different kinds of guards and protective devices are defined in	
	3.27 and 3.28.	
	Certain safeguards may be used to avoid exposure to more than one hazard.	Pass
	EXAMPLE A fixed guard preventing access to a zone where a mechanical	
	hazard is present used to reduce noise levels and collect toxic emissions.	
5.3.2	Selection and implementation of guards and protective devices	
.3.2.1	General	
	This subclause gives guidelines for the selection and the implementation of guards and protective devices the primary purpose of which is to protect persons against hazards generated by moving parts, according to the nature of those parts (see Figure 4) and to the need for access to the danger	Pass
	zone(s). The exact choice of a safeguard for a particular machine shall be made on the basis of the risk assessment for that machine.	Pass
	In selecting an appropriate safeguard for a particular type of machinery or hazard zone, it shall be borne in mind that a fixed guard is simple and shall be used where the access of an operator into a danger zone is not required during the normal operation (operation without malfunction) of the machinery.	Pass
	As the need for frequency of access increases, this inevitably leads to the fixed guard not being replaced. This requires the use of an alternative protective measure (movable interlocking guard, sensitive protective equipment).	Pass
	A combination of safeguards can sometimes be required. For example, where, in conjunction with a fixed guard, a mechanical loading (feeding) device is used to feed a workpiece into a machine, thereby removing the need for access to the primary hazard zone, a trip device can be required to protect against the secondary drawing-in or shearing hazard between the mechanical loading (feeding) device, when reachable, and the fixed guard.	Pass
	<ul> <li>Consideration shall be given to the enclosure of control positions or intervention zones to provide combined protection against several hazards including</li> <li>a) hazards from falling or ejected objects, using, for example, protection in the form of a falling object protection structure (FOPS),</li> <li>b) emission hazards (protection against noise, vibration, radiation,</li> </ul>	N/A
	substances hazardous to health, etc.),	
	c) hazards due to the environment (protection against heat, cold, foul	

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	weather, etc.),	
	d) hazards due to tipping over or rolling over of machinery, using, for	
	example, protection in the form of roll-over or tip-over protection structures	
	(ROPS and TOPS).	
	The design of enclosed work stations, such as cabs and cabins, shall take	N/A
	into account ergonomic principles concerning visibility, lighting, atmospheric	
	conditions, access, posture.	
5.3.2.2	Where access to the hazard zone is not required during normal	
	operation	
	Where access to the hazard zone is not required during normal operation of	N/A
	the machinery, safeguards should	N/A
	be selected from the following:	
	a) fixed guards (see also ISO 14120);	
	b) interlocking guards with or without guard locking (see also 6.3.3.2.3, ISO 14119 and ISO 14120);	
	c) self-closing guards (see ISO 14120:2002, 3.3.2);	
	d) sensitive protective equipment, such as electrosensitive protective	
	equipment (see IEC 61496) or pressure-sensitive protective devices (see ISO	
	13856).	
5.3.2.3	Where access to the hazard zone is required during normal operation	
	Where access to the hazard zone is required during normal operation of the	Pass
	machinery, safeguards should be	
	selected from the following:	
	a) interlocking guards with or without guard locking (see also ISO 14119, ISO	
	14120 and 6.3.3.2.3 of this document);	
	b) sensitive protective equipment, such as electrosensitive protective	
	equipment (see IEC 61496);	
	c) adjustable guards;	
	d) self-closing guards (see ISO 14120:2002, 3.3.2);	
	e) two-hand control devices (see ISO 13851);	
	f) interlocking guards with a start function (control guard) (see 6.3.3.2.5).	
5.3.2.4	Where access to the hazard zone is required for machine setting,	
	teaching, process changeover, fault-finding, cleaning or maintenance	
	As far as possible, machines shall be designed so that the safeguards	Pass
	provided for the protection of the production operator also ensure the	
	protection of personnel carrying out setting, teaching, process changeover,	
	fault-finding, cleaning or maintenance, without hindering them in the	
	performance of their task. Such tasks shall be identified and considered in the	

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	risk assessment as parts of the use of the machine (see 5.2).	
	NOTE Isolation and energy dissipation for machine shut-down (see 6.3.5.4,	
	and also ISO 14118:2000, 4.1 and Clause 5) ensure the highest level of	
	safety when carrying out tasks (especially maintenance and repair tasks) that	
	do not require the machine to remain connected to its power supply.	
6.3.2.5	Selection and implementation of sensitive protective equipment	
6.3.2.5.1	Selection	
	Due to the great diversity of the technologies on which their detection function	N/A
	is based, all types of sensitive protective equipment are far from being	
	equally suitable for safety applications. The following provisions are intended	
	to provide the designer with criteria for selecting, for each application, the	
	most suitable device(s).	
	Types of sensitive protective equipment include	N/A
	- light curtains,	
	- scanning devices, for example, laser scanners,	
	- pressure-sensitive mats, and	
	- trip bars, trip wires.	
	Sensitive protective equipment can be used	N/A
	- for tripping purposes,	
	- for presence sensing,	
	- for both tripping and presence sensing, or	
	- to re-initiate machine operation — a practice subject to stringent conditions.	
	NOTE Some types of sensitive protective equipment can be unsuitable either	
	for presence sensing or for tripping purposes.	
	The following characteristics of the machinery, among others, can preclude	N/A
	the sole use of sensitive protective equipment:	
	- tendency for the machinery to eject materials or component parts;	
	- necessity to guard against emissions (noise, radiation, dust, etc.);	
	- erratic or excessive machine stopping time;	
	- inability of a machine to stop part-way through a cycle.	
6.3.2.5.2	Implementation	
	Consideration should be given to	N/A
	a) the size, characteristics and positioning of the detection zone (see ISO	
	13855, which deals with the positioning of some types of sensitive protective	
	equipment),	
	b) the reaction of the device to fault conditions (see IEC 61496 for	
	electrosensitive protective equipment),	
	c) the possibility of circumvention, and	

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	a) only active optoelectronic protective devices (AOPDs) complying with IEC	
	following conditions:	
	Cycle initiation by sensitive protective equipment shall be subject to the	N/A
	cycle shall be initiated only by voluntary actuation of a start control.	
	by the tripping function of the sensitive protective equipment, the machine	
	power supply, or when the machine has been stopped	
	second point of the dashed list in 6.3.2.5.2, above. After switching on the	
	start command, hence deviating from the general requirement given in the	
	sensing field of the sensitive protective equipment, without any additional	
	the withdrawal of a person or of the detected part of a person from the	
	In this exceptional application, the starting of the machine cycle is initiated by	N/A
	for cycle initiation	
.3.2.5.3	Additional requirements for sensitive protective equipment when used	
	optoelectronic protective devices, IEC 61496 should be taken into account.	
	For detailed consideration of the fault behaviour of, for example, active	N/A
	by safety-related parts of the control system (see ISO 13849-1).	
	NOTE 2 Muting is the temporary automatic suspension of a safety function(s)	N/A
	in the hazard zone without being detected.	
	with fixed guards, a person or part of a person from entering or being present	
	- the position and the shape of the detection field prevents, possibly together	
	the sensitive protective equipment, except during muting phases, and	
	- the machine cannot operate during interruption of the detection function of	
	where this zone can be observed by the operator,	
	actuation by the operator of a control device placed outside the hazard zone,	
	- restarting the hazardous machine function(s) results from the voluntary	
	until a new command is given,	
	by the sensitive protective equipment is maintained by the control system	
	restart the hazardous machine function(s), and therefore the command given	
	- the withdrawal of the person or part of a person detected does not, by itself,	
	- a command is given as soon as a person or part of a person is detected,	
	associated with the control system of the machine so that	10/4
	Sensitive protective equipment shall be integrated in the operative part and	N/A
	protective equipment.	
	NOTE 1 IEC 61496 defines the detection capability of electrosensitive	
	impurities in the air).	
	presence of reflecting surfaces, other artificial light sources and sunlight or	
	d) detection capability and its variation over the course of time (as a result, for example, of its susceptibility to different environmental conditions such as the	

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	61496 series shall be used;	
	b) the requirements for an AOPD used as a tripping and presence-sensing	
	device (see IEC 61496) are satisfied — in particular, location, minimum	
	distance (see ISO 13855), detection capability, reliability and	
	monitoring of control and braking systems;	
	c) the cycle time of the machine is short and the facility to re-initiate the	
	machine upon clearing of the sensing field is limited to a period	
	commensurate with a single normal cycle;	
	d) entering the sensing field of the AOPD(s) or opening interlocking guards is	
	the only way to enter the hazard zone;	
	e) if there is more than one AOPD safeguarding the machine, only one of the	
	AOPDs is capable of cycle re-initiation;	
	f) with regard to the higher risk resulting from automatic cycle initiation, the	
	AOPD and the associated control system comply with a higher safety-related	
	performance than under normal conditions.	
	NOTE 1 The hazard zone as referred to in d) is any zone where the	
	hazardous function (including ancillary equipment and transmission	
	elements) is initiated by clearing of the sensing field.	
6336	NOTE 2 See also IEC/TS 62046.	
6.3.2.6	Protective measures for stability	
	If stability cannot be achieved by inherently safe design measures such as	Pass
	weight distribution (see 6.2.6), it shall be maintained by the use of protective	anchorage bolts,
	measures such as	
	- anchorage bolts,	
	- locking devices,	
	- movement limiters or mechanical stops,	
1		
	- acceleration or deceleration limiters,	
	- load limiters, and	
	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example,</li> </ul>	 N/A
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary devices to enable the operation to remain within specified limits, in particular</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary devices to enable the operation to remain within specified limits, in particular - when the operator has insufficient visibility of the hazard zone,</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary devices to enable the operation to remain within specified limits, in particular</li> <li>when the operator has insufficient visibility of the hazard zone,</li> <li>when the operator lacks knowledge of the actual value of a safety-related</li> </ul>	
6.3.2.7	<ul> <li>load limiters, and</li> <li>alarms warning of the approach to stability or tipping limits.</li> <li>Other protective devices</li> <li>When a machine requires continuous control by the operator (for example, mobile machines, cranes) and an error of the operator can generate a hazardous situation, this machine shall be equipped with the necessary devices to enable the operation to remain within specified limits, in particular - when the operator has insufficient visibility of the hazard zone,</li> </ul>	

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	operator.	
	The necessary devices include	Pass
	a) devices for limiting parameters of movement (distance, angle, velocity,	
	acceleration),	
	b) overloading and moment limiting devices,	
	c) devices to prevent collisions or interference with other machines,	
	d) devices for preventing hazards to pedestrian operators of mobile	
	machinery or other pedestrians,	
	e) torque limiting devices, and breakage points to prevent excessive stress of	
	components and assemblies,	
	f) devices for limiting pressure or temperature,	
	g) devices for monitoring emissions,	
	h) devices to prevent operation in the absence of the operator at the control	
	position,	
	i) devices to prevent lifting operations unless stabilizers are in place,	
	j) devices to limit inclination of the machine on a slope, and	
	k) devices to ensure that components are in a safe position before travelling.	
	Automatic protective measures triggered by such devices that take operation	N/A
	of the machinery out of the control of the operator (for example, automatic	
	stop of hazardous movement) should be preceded or accompanied by a	
	warning signal to enable the operator to take appropriate action (see 6.4.3).	
6.3.3	Requirements for design of guards and protective devices	
6.3.3.1	General requirements	
	Guards and protective devices shall be designed to be suitable for the	Pass
	intended use, taking into account mechanical and other hazards involved.	
	Guards and protective devices shall be compatible with the working	
	environment of the machine and designed so that they cannot be easily	
	defeated. They shall provide the minimum possible interference with activities	
	during operation and other phases of machine life, in order to reduce any	
	incentive to defeat them.	
	NOTE For additional information, see ISO 14120, ISO 13849-1, ISO 13851,	
	ISO 14119, ISO 13856, IEC 61496 and IEC 62061.	
	Guards and protective devices shall	Pass
	a) be of robust construction,	
	b) not give rise to any additional hazard,	
	c) not be easy to bypass or render non-operational,	
	d) be located at an adequate distance from the danger zone (see ISO 13855	
	and ISO 13857),	

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	e) cause minimum obstruction to the view of the production process, and	
	f) enable essential work to be carried out for the installation and/or	
	replacement of tools and for maintenance by allowing access only to the area	
	where the work has to be carried out — if possible, without the guard having	
	to be removed or protective device having to be disabled.	
	For openings in the guards, see ISO 13857.	Pass
6.3.3.2	Requirements for guards	
6.3.3.2.1	Functions of guards	
	The functions that guards can achieve are	Pass
	- prevention of access to the space enclosed by the guard, and/or	
	- containment/capture of materials, workpieces, chips, liquids which can be	
	ejected or dropped by the machine, and reduction of emissions (noise,	
	radiation, hazardous substances such as dust, fumes, gases) that can be	
	generated by the machine.	
	Additionally, they could need to have particular properties relating to	Pass
	electricity, temperature, fire, explosion, vibration, visibility (see ISO 14120)	
	and operator position ergonomics (for example, usability, operator's	
	movements, postures, repetitive movements).	
6.3.3.2.2	Requirements for fixed guards	
	Fixed guards shall be securely held in place either	Pass
	- permanently (for example by welding), or	
	- by means of fasteners (screws, nuts) making removal/opening impossible	
	without using tools; they should not remain closed without their fasteners (see	
	ISO 14120).	
	NOTE A fixed guard can be hinged to assist in its opening.	
6.3.3.2.3	Requirements for movable guards	
	Movable guards which provide protection against hazards generated by	N/A
	moving transmission parts shall	
	a) as far as possible when open remain fixed to the machinery or other	
	structure (generally by means of hinges or guides), and	
	b) be interlocking (with guard locking when necessary) (see ISO 14119).	
	See Figure 4.	
	Movable guards against hazards generated by non-transmission moving	N/A
	parts shall be designed and	
	associated with the machine control system so that	
	- moving parts cannot start up while they are within the operator's reach and	
	the operator cannot reach moving parts once they have started up, with this	
	able to be achieved by interlocking guards, with guard locking when	

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	etc.),	
	- the guard construction (sharp edges or corners, material, noise emission,	
	Care shall be taken to prevent hazards which could be generated by	Pass
.3.3.2.6	Hazards from guards	
	such that it cannot initiate a start while falling by its own weight.	
	lead to an unintended/unexpected start-up, and g) the guard is securely held open (for example, by a spring or counterweight)	
	detectors and use of automatic monitoring (see 6.2.11.6) — its failure cannot	
	function is designed such that — for example, by duplication of position	
	f) the interlocking device associated with the interlocking guard with a start	
	interlocking guards,	
	e) all other guards, whether fixed (removable type) or movable, are	
	while the guard is closed (see ISO 14120),	
	person, to stay in the hazard zone or between the hazard zone and the guard	
	d) the dimensions or shape of the machine do not allow a person, or part of a	
	machine,	
	guard with a start function and resetting is necessary before restarting the	
	hazardous function(s) cannot be initiated by the closing of the interlocking	
	example, equal to the cycle time) and, when this time is exceeded, the	
	c) the maximum opening time of the guard is preset to a low value (for	
	b) the cycle time of the machine is short,	
	An interlocking guard with a start function may only be used provided that a) all requirements for interlocking guards are satisfied (see ISO 14119),	IN/A
	guards)	N/A
.3.3.2.5	Requirements for interlocking guards with a start function (control	
2225	- readily adjustable without the use of tools.	
	- designed so that the adjustment remains fixed during a given operation, and	
	Manually adjustable guards shall be	N/A
	operational reasons be completely enclosed.	
	Adjustable guards may only be used where the hazard zone cannot for	N/A
5.3.3.2.4	Requirements for adjustable guards	
	See Figure 4 and ISO 14119.	
	monitoring (see 6.2.11.6).	
	the moving parts or stops them, with this able to be achieved by automatic	
	- the absence or failure of one of their components either prevents starting of	
	or a key, and	
	ecessary, - they can be adjusted only by an intentional action, such as the use of a tool	

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	- the movements of the guards (shearing or crushing zones generated by	
	power-operated guards and by heavy guards which are liable to fall).	
6.3.3.3	Technical characteristics of protective devices	
	Protective devices shall be selected or designed and connected to the control	Pass
	system such that correct implementation of their safety function(s) is ensured.	
	Protective devices shall be selected on the basis of their having met the	Pass
	appropriate product standard (for example, IEC 61496 for active	
	optoelectronic protective devices) or shall be designed according to one or	
	several of the principles formulated in ISO 13849-1 or IEC 62061.	
	Protective devices shall be installed and connected to the control system so	Pass
	that they cannot be easily defeated.	
6.3.3.4	Provisions for alternative types of safeguards	Pass
	Provisions should be made to facilitate the fitting of alternative types of	
	safeguards on machinery where it is known that it will be necessary to	
	change the safeguards because of the range of work to be carried out.	
6.3.4	Safeguarding to reduce emissions	
6.3.4.1	General	
	If the measures for the reduction of emissions at source specified in 6.2.2.2	Pass
	are not adequate, the machine shall be provided with additional protective	
	measures (see 6.3.4.2 to 6.3.4.5).	
6.3.4.2	Noise	
	Additional protective measures against noise include	Pass
	- enclosures (see ISO 15667),	
	- screens fitted to the machine, and	
	- silencers (see ISO 14163).	
6.3.4.3	Vibration	
	Additional protective measures against vibration include	N/A
	- vibration isolators, such as damping devices placed between the source and	
	the exposed person,	
	- resilient mounting, and	
	- suspended seats.	
	For measures for vibration isolation of stationary industrial machinery see EN	N/A
	1299.	
6.3.4.4	Hazardous substances	
	Additional protective measures against hazardous substances include	Pass
	- encapsulation of the machine (enclosure with negative pressure),	
	- local exhaust ventilation with filtration,	
	- wetting with liquids, and	

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	others, of	
	Measures for the escape and rescue of trapped persons may consist, among	N/A
6.3.5.3	Measures for the escape and rescue of trapped persons	
	elements to achieve the emergency stop function are provided in IEC 60204.	11/74
	not restart the machinery, but shall only permit restarting.           More details for the design and selection of electrical components and	N/A
	emergency stop command has been initiated. The reset of the device shall	
	until it is reset. This reset shall be possible only at the location where the	
	emergency stop command, the effect of this command shall be sustained	
	Once active operation of the emergency stop device has ceased following an	N/A
	NOTE For more detailed provisions, see ISO 13850.	
	safeguard movements where necessary.	
	- the emergency stop control shall trigger or permit the triggering of certain	
	stop function is the best solution;	
	reduced, it should be questioned whether implementation of an emergency	
	creating additional hazards, but if this is not possible or the risk cannot be	
	- the hazardous process shall be stopped as quickly as possible without	
	accessible;	
	- the actuators shall be clearly identifiable, clearly visible and readily	
	apply:	
	impending emergency situations to be averted, the following requirements	
	and elements to achieve an emergency stop function for enabling actual or	
	If, following a risk assessment, a machine needs to be fitted with components	N/A
6.3.5.2	Components and elements to achieve emergency stop function	
	measures include, but are not limited to, those dealt with in 6.3.5.2 to 6.3.5.6.	
	intended use and the reasonably foreseeable misuse of the machine. Such	
	information for use, could have to be implemented as required by the	
	safeguarding (implementation of guards and/or protective devices), nor	
	Protective measures which are neither inherently safe design measures, nor	Pass
6.3.5.1	General	
6.3.5	Complementary protective measures	
	- use of attenuating screens or guards.	
	- use of filtering and absorption, and	
	Additional protective measures against radiation include	N/A
6.3.4.5	Radiation	
	See ISO 14123-1.	
	operators).	
	- special ventilation in the area of the machine (air curtains, cabins for	

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	- escape routes and shelters in installations generating operator-trapping	
	hazards,	
	- arrangements for moving some elements by hand, after an emergency stop,	
	- arrangements for reversing the movement of some elements,	
	- anchorage points for descender devices,	
	- means of communication to enable trapped operators to call for help.	
6.3.5.4	Measures for isolation and energy dissipation	
	Machines shall be equipped with the technical means to achieve isolation	N/A
	from power supply(ies) and dissipation of stored energy by means of the	
	following actions:	
	a) isolating (disconnecting, separating) the machine (or defined parts of the	
	machine) from all power supplies;	
	b) locking (or otherwise securing) all the isolating units in the isolating	
	position; c) dissipating or, if this is not possible or practicable, restraining	
	(containing) any stored energy which can give rise to a hazard;	
	d) verifying, by means of safe working procedures, that the actions taken	
	according to a), b) and c) above have produced the desired effect.	
	See ISO 14118:2000, Clause 5, and IEC 60204-1:2005, 5.5 and 5.6.	
6.3.5.5	Provisions for easy and safe handling of machines and their heavy	
	component parts	
	Machines and their component parts which cannot be moved or transported	Pass
	by hand shall be provided or be capable of being provided with suitable	
	attachment devices for transport by means of lifting gear.	
	These attachments may be, among others,	Pass
	- standardized lifting appliances with slings, hooks, eyebolts, or tapped holes	
	for appliance fixing,	
	- appliances for automatic grabbing with a lifting hook when attachment is not	
	possible from the ground,	
	- fork locating devices for machines to be transported by a lift truck,	
	- lifting and stowing gear and appliances integrated into the machine.	
	Parts of machinery which can be removed manually in operation shall be	Pass
	provided with means for their safe removal and replacement.	
	See also 6.4.4 c), item 3).	
6.3.5.6	Measures for safe access to machinery	
	Machinery shall be so designed as to enable operation and all routine tasks	Pass
	relating to setting and/or maintenance to be carried out as far as possible by	
	a person remaining at ground level.	
	Where this is not possible, machines shall have built-in platforms, stairs or	Pass

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correct use of the machine. With this in view, it shall inform and warn the user	. 400
	Pass
	Pass
for professional and/or non-professional users.	
combination to convey information to the user. Information for use is intended	
words, signs, signals, symbols or diagrams, used separately or in	
Figure 2). Information for use consists of communication links, such as texts,	
	Pass
General requirements	
when the platform is not present at a level. Movement of the lifting platform	
levels, these shall be equipped with interlocking guards for preventing falls	
When machinery for lifting goods and/or persons includes landings at fixed	N/A
aids for access.	
Control devices shall be designed and located to prevent their being used as	
The necessary aids for access shall be provided (steps, handholds, etc.).	Pass
be designed to prevent hazards due to unintended opening.	
Openings shall, whenever possible, open towards a safe position. They shall	Pass
for lifting persons or with elevating control stations).	
falls from height shall also be provided (for example, in carriers of machinery	
As necessary, anchorage points for personal protective equipment against	
stairways, stepladders and platforms and/or safety cages for ladders).	
with collective means of protection against falls (for example, guard-rails for	
Means of access to parts of machinery located at height shall be provided	Pass
means of access, such as walkways, conveyor bridges or crossover points.	
In large automated installations, particular attention shall be given to safe	N/A
ISO 14122-3).	
height from the ground, shall be provided with suitable guard-rails (see	
resistant as practicable under working conditions and, depending on the	
The walking areas shall be made from materials which remain as slip	Pass
zones of machinery.	
be taken to ensure that such platforms or stairs do not give access to danger	
	zones of machinery.         The walking areas shall be made from materials which remain as slip         resistant as practicable under working conditions and, depending on the         height from the ground, shall be provided with suitable guard-rails (see         ISO 14122-3).         In large automated installations, particular attention shall be given to safe         means of access, such as walkways, conveyor bridges or crossover points.         Means of access to parts of machinery located at height shall be provided         with collective means of protection against falls (for example, guard-rails for         stairways, stepladders and platforms and/or safety cages for ladders).         As necessary, anchorage points for personal protective equipment against         falls from height shall also be provided (for example, in carriers of machinery         for lifting persons or with elevating control stations).         Openings shall, whenever possible, open towards a safe position. They shall         be designed to prevent hazards due to unintended opening.         The necessary aids for access shall be provided (steps, handholds, etc.).         Control devices shall be degigned and located to prevent their being used as         aids for access.         When machinery for lifting goods and/or persons includes landings at fixed         levels, these shall be equipped with interlocking guards for preventing falls         when the platform is not present at a level. Mo

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	about residual risk.	
	The information shall indicate, as appropriate,	Pass
	- the need for training,	
	- the need for personal protective equipment, and	
	- the possible need for additional guards or protective devices (see Figure 2,	
	Footnote d).	
	It shall not exclude uses of the machine that can reasonably be expected	Pass
	from its designation and description and shall also warn about the risk which	
	would result from using the machine in other ways than the ones described in	
	the information, especially considering its reasonably foreseeable misuse.	
.4.1.3	Information for use shall cover, separately or in combination, transport,	Pass
	assembly and installation, commissioning, use of the machine (setting,	
	teaching/programming or process changeover, operation, cleaning, fault-	
	finding and maintenance) and, if necessary, dismantling, disabling and	
	scrapping.	
.4.2	Location and nature of information for use	
	Depending on the risk, the time when the information is needed by the user	Pass
	and the machine design, it shall be decided whether the information — or	
	parts thereof — are to be given	
	a) in/on the machine itself (see 6.4.3 and 6.4.4),	
	b) in accompanying documents (in particular instruction handbook, see	
	6.4.5),	
	c) on the packaging,	
	d) by other means such as signals and warnings outside the machine.	
	Standardized phrases shall be considered where important messages such	Pass
	as warnings are given (see also IEC 62079).	
.4.3	Signals and warning devices	
	Visual signals, such as flashing lights and audible signals such as sirens may	N/A
	be used to warn of an impending hazardous event such as machine start-up	
	or overspeed. Such signals may also be used to warn the operator before the	
	triggering of automatic protective measures (see 6.3.2.7).	
	It is essential that these signals	N/A
	a) be emitted before the occurrence of the hazardous event,	
	b) be unambiguous,	
	c) be clearly perceived and differentiated from all other signals used, and	
	d) be clearly recognized by the operator and other persons.	
	The warning devices shall be designed and located such that checking is	N/A
	easy. The information for use shall prescribe regular checking of warning	

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	devices.	
	The attention of designers is drawn to the possibility of "sensorial saturation",	N/A
	which can result from too many visual and/or acoustic signals and which can	
	also lead to defeating the warning devices.	
	NOTE Consultation of the user on this subject is often necessary.	
6.4.4	Markings, signs (pictograms) and written warnings	
	Machinery shall bear all markings which are necessary	
	a) for its unambiguous identification, including at least	Pass
	1) the name and address of the manufacturer,	
	2) the designation of series or type, and	
	3) the serial number, if any,	
	b) in order to indicate its compliance with mandatory requirements,	Pass
	comprising	
	1) marking, and	
	2) written indications, such as the authorized representative of the	
	manufacturer, designation of the c) for its safe use, for example,	
	1) maximum speed of rotating parts,	
	2) maximum diameter of tools,	
	3) mass (in kilograms) of the machine itself and/or of removable parts,	
	4) maximum working load,	
	5) necessity of wearing personal protective equipment,	
	6) guard adjustment data, and	
	7) frequency of inspection.	
	Information printed directly on the machine should be permanent and remain	Pass
	legible throughout the expected life of the machine.	
	Signs or written warnings indicating only "Danger" shall not be used.	Pass
	Markings, signs and written warnings shall be readily understandable and	Pass
	unambiguous, especially as regards the part of the function(s) of the machine	
	to which they are related. Readily understandable signs (pictograms) should	
	be used in preference to written warnings.	
	Signs and pictograms should only be used if they are understood in the	Pass
	culture in which the machinery is to be used.	
	Written warnings shall be drawn up in the language(s) of the country in which	Pass
	the machine will be used for the first time and, on request, in the language(s)	
	understood by operators.	
	NOTE In some countries the use of specific language(s) is covered by legal	
	requirements.	
	Markings shall comply with recognized standards (for example, ISO 2972 or	Pass

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	ISO 7000, for pictograms, symbols and colours in particular).	
	See IEC 60204-1 as regards marking of electrical equipment.	Pass
	See ISO 4413 and ISO 4414 for hydraulic and pneumatic equipment.	Pass
6.4.5	Accompanying documents (in particular — instruction handbook)	N/A
6.4.5.1	Contents	
	The instruction handbook or other written instructions (for example, on the	Pass
	packaging) shall contain, among others, the following:	
	a) information relating to transport, handling and storage of the machine,	Pass
	such as	
	1) storage conditions for the machine,	
	2) dimensions, mass value(s), position of the centre(s) of gravity, and	
	3) indications for handling (for example, drawings indicating application points	
	for lifting equipment);	
	b) information relating to installation and commissioning of the machine, such	Pass
	as	
	1) fixing/anchoring and dampening of noise and vibration requirements,	
	2) assembly and mounting conditions,	
	3) space needed for use and maintenance,	
	4) permissible environmental conditions (for example, temperature, moisture,	
	vibration, electromagnetic	
	radiation),	
	5) instructions for connecting the machine to power supply (particularly on	
	protection against electrical	
	overloading),	
	6) advice on waste removal/disposal, and	
	7) if necessary, recommendations related to protective measures which have	
	to be implemented by the	
	user — for example, additional safeguards (see Figure 2, Footnote d), safety	
	distances, safety signs	
	and signals;	
	c) information relating to the machine itself, such as	Pass
	1) detailed description of the machine, its fittings, guards and/or protective	
	devices,	
	2) the comprehensive range of applications for which the machine is	
	intended, including prohibited usages, if any, taking into account variations of	
	the original machine if appropriate,	
	3) diagrams (especially schematic representation of safety functions),	
	4) data on noise and vibration generated by the machine, and on radiation,	

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gases, vapours and dust	
emitted by it, with reference to the measuring methods (including	
measurement uncertainties) used,	
5) technical documentation of electrical equipment (see IEC 60204), and	
6) documents attesting that the machine complies with mandatory	
requirements;	
d) information relating to the use of the machine, such as that related to or	Pass
describing	
1) intended use,	
2) manual controls (actuators),	
3) setting and adjustment,	
4) modes and means for stopping (especially emergency stop),	
5) risks which could not be eliminated by the protective measures	
implemented by the designer,	
6) particular risks which can be generated by certain applications, by the use	
of certain fittings, and about specific safeguards necessary for such	
applications,	
7) reasonably foreseeable misuse and prohibited applications,	
8) fault identification and location, for repair and for restarting after an	
intervention, and	
9) personal protective equipment needed to be used and the training that is	
required;	
e) information for maintenance, such as	Pass
1) the nature and frequency of inspections for safety functions,	
2) specification of the spare parts to be used when these can affect the health	
and safety of operators,	
3) instructions relating to maintenance operations which require a definite	
technical knowledge or particular skills and hence need to be carried out	
exclusively by skilled persons (for example, maintenance staff, specialists),	
4) instructions relating to maintenance actions (replacement of parts, etc.)	
which do not require specific skills and hence may be carried out by users	
(for example, operators), and	
5) drawings and diagrams enabling maintenance personnel to carry out their	
task rationally (especially fault-finding tasks);	
f) information relating to dismantling, disabling and scrapping;	Pass
g) information for emergency situations, such as	Pass
1) the operating method to be followed in the event of accident or breakdown,	
2) the type of fire-fighting equipment to be used, and	

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	3) a warning of possible emission or leakage of hazardous substance(s) and,	
	if possible, an indication of means for fighting their effects;	
	h) maintenance instructions provided for skilled persons [item e) 3) above]	Pass
	and maintenance instructions provided for unskilled persons [item e) 4)	
	above], that need to appear clearly separated from each other.	
6.4.5.2	Production of instruction handbook	
	The following applies to the production and presentation of the instruction handbook.	Pass
	a) The type fount and size of print shall ensure the best possible legibility.	
	Safety warnings and/or cautions should be emphasized by the use of colours, symbols and/or large print.	
	b) The information for use shall be given in the language(s) of the country in which the machine will be used for the first time and in the original version. If more than one language is to be used, each should be	
	readily distinguished from another, and efforts should be made to keep the translated text and relevant illustration together.	
	NOTE In some countries the use of specific language(s) is covered by legal requirements.	
	c) Whenever helpful to the understanding, text should be supported by illustrations. These illustrations should be supplemented with written details	
	enabling, for example, manual controls (actuators) to be located and identified. They should not be separated from the accompanying text and should follow sequential operations.	
	d) Consideration should be given to presenting information in tabular form where this will aid understanding. Tables should be adjacent to the relevant	
	text.	
	e) The use of colours should be considered, particularly in relation to components requiring quick identification.	
	f) When information for use is lengthy, a table of contents and/or an index should be provided.	
	g) Safety-relevant instructions which involve immediate action should be	
	provided in a form readily available to the operator.	
6.4.5.3	Drafting and editing information for use	
	The following applies to the drafting and editing of information for use. a) Relationship to model: the information shall clearly relate to the specific	Pass
	model of machine and, if necessary, other appropriate identification (for example, by serial number).	
	b) Communication principles: when information for use is being prepared, the	

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[		
	communication process "see – think – use" should be followed in order to	
	achieve the maximum effect and should follow sequential operations. The	
	questions, "How?" and "Why?" should be anticipated and the answers	
	provided.	
	c) Information for use shall be as simple and as brief as possible, and should	
	be expressed in consistent terms and units with a clear explanation of	
	unusual technical terms.	
	d) When it is foreseen that a machine will be put to non-professional use, the	
	instructions should be written in a form that is readily understood by the non-	
	professional user. If personal protective equipment is required for the safe	
	use of the machine, clear advice should be given, for example, on the	
	packaging as well as on the machine, so that this information is prominently	
	displayed at the point of sale.	
	e) Durability and availability of the documents: documents giving instructions	
	for use should be produced in durable form (i.e. they should be able to	
	survive frequent handling by the user). It can be useful to mark them "keep	
	for future reference". Where information for use is kept in electronic form (CD,	
	DVD, tape, hard disk, etc.), information on safety-related issues that need	
	immediate action shall always be backed up with a hard copy that is readily	
	available.	
7	Documentation of risk assessment and risk reduction	
	The documentation shall demonstrate the procedure that has been followed	
	and the results that have been achieved. This includes, when relevant,	
	documentation of	
	a) the machinery for which the risk assessment has been made (for example,	Pass
	specifications, limits, intended use);	
	b) any relevant assumptions that have been made (loads, strengths, safety	
	factors, etc.);	
	c) the hazards and hazardous situations identified and the hazardous events	
	considered in the risk assessment;	
	d) the information on which risk assessment was based (see 5.2):	
	<ul><li>d) the information on which risk assessment was based (see 5.2):</li><li>1) the data used and the sources (accident histories, experience gained from</li></ul>	
	<ul> <li>d) the information on which risk assessment was based (see 5.2):</li> <li>1) the data used and the sources (accident histories, experience gained from risk reduction applied to similar machinery, etc.);</li> </ul>	
	<ul> <li>d) the information on which risk assessment was based (see 5.2):</li> <li>1) the data used and the sources (accident histories, experience gained from risk reduction applied to similar machinery, etc.);</li> <li>2) the uncertainty associated with the data used and its impact on the risk</li> </ul>	
	<ul> <li>d) the information on which risk assessment was based (see 5.2):</li> <li>1) the data used and the sources (accident histories, experience gained from risk reduction applied to similar machinery, etc.);</li> <li>2) the uncertainty associated with the data used and its impact on the risk assessment;</li> </ul>	
	<ul> <li>d) the information on which risk assessment was based (see 5.2):</li> <li>1) the data used and the sources (accident histories, experience gained from risk reduction applied to similar machinery, etc.);</li> <li>2) the uncertainty associated with the data used and its impact on the risk</li> </ul>	

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<ul><li>g) residual risks associated with the machinery;</li><li>h) the result of the risk assessment (see Figure 1);</li></ul>	
 i) any forms completed during the risk assessment.	
Standards or other specifications used to select protective measures referred	Pass
to in f) above should be referenced.	
NOTE No requirement is given in this International Standard to deliver the	
risk assessment documentation together with the machine. See ISO/TR	
14121-2 for information on documentation.	

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## I. Introduction.

In general this risk assessment report for the machines listed in previous page made by Shenzhen AOCE Electronic Technology Service Co., Ltd. was carried out in accordance with the requirements of Machinery Directive and the standards of EN ISO 14121-1, in which an explicit risk level is evaluated with 4 factors described in next clause.

After the first assessment, some measures to eliminate the risks are given for the modification of machine or of relative documents with taking into account the explicit C-type EN standard or related B-type standard.

While taking appropriate provisions for the existing risks, the procedures and principles to eliminate the risk according to the most general B-type standard for any kind of machine:

- First step: consider the possibility of eliminating risk at design stage.
- Second step: if impossible, protect the dangerous zone with appropriate design of safety guard or safety device.
- Third step: if above impossible, give warning signs to draw attention of operators about the residual risks.

In addition, some check list drawn from the explicit C-type EN standards, which are found suitable for or near the characteristic of this machine, maybe used to help developing the provisions for the elimination of the risks.

Finally the risk assessment was carried out again to ensure this machine and its relative documents are totally compliance with the Machinery Directive.

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# II. Risk assessment Methodology

The risk assessment is based on the method recommended in EN ISO 14121-1 and, in which the

4 factors S-A-G-W are used to evaluate the level of risk. The meaning of those is described in the following:

(A) <u>S: Severity of the possible harm.</u>

- S1: Slight (normally reversible) injury or damage to health.
- S2: Serious (normally irreversible) injury or damage to health, or cause one man dies.
- S3: Cause a few men die.
- S4: Catastrophe or many men die.

### (B) <u>A: Frequency of exposure.</u>

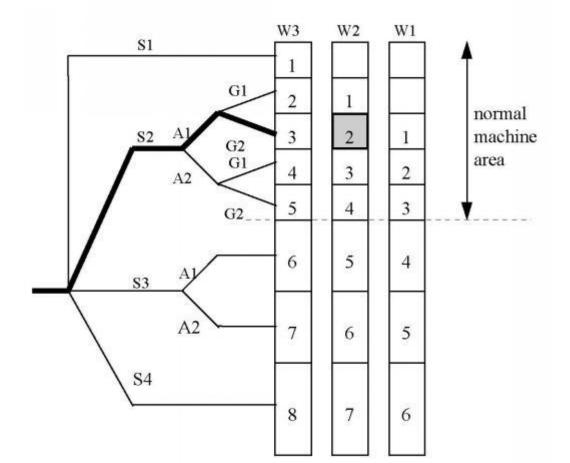
- A1: Seldom to quite often.
- A2: Frequent to continuous.
- (C) G: Possibilities of avoidance.
  - G1: Possible to be avoided.
  - G2: Impossible to be avoided.

### (D) <u>W: Probility of occurrence of an event that can cause harm.</u>

- W1: Low (So unlikely, it can be assumed occurrence may not be experienced.)
- W2: Medium (likely to occur sometime in life time of an item)
- W3: High (likely to occur frequently)

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The decision for the level of risk could be made in according to the following Fig



Corresponding measures:

- 1 : Protected by warning
- 2: Protected by guard and warning
- 3 : Consider another design, adopt the best one, add both guard and warning
- 4 : Consider another two designs, adopt the best one, add both guard and warning
- 5 : Consider another three or more designs, adopt the one add both guard and warning

No	).	Hazard source	Unwante	Cause	· .	Probabil arm to p			Risk class
			d incident		<b>S</b> class	A class	<b>G</b> class	W class	Risk class

## III. Assessment Result Please see the following Table

Mech	anical hazards							
1.0.1	Mechanical hazards due to machine part or work piece.	N/A		-	-	-	-	-
1.0.2	Mechanical hazards due to accumulation of energy under pressure.	N/A		-	-	-	-	-
1. 1	Crushing / Squeezing	Injury on hand		S1	A1	G2	W2	-
1.2	Shearing	N/A		-	-	-	-	-
1.3	Cutting or severing	N/A		-	-	-	-	-
1.4	Entanglement	N/A		-	-	-	-	-
1.5	Drawing-in or Trapping	N/A		-	-	-	-	-
1.6	Impact	Injury on hand		S1	A1	G2	W2	-
1.7	Stabbing or puncture	N/A		-	-	-	-	-
1.8	Friction or abrasion	N/A		-	-	-	-	-
1.9	High pressure fluid injection	N/A		-	-	-	-	-
1.10	Ejection of parts (of machinery and processed material/work piece)	N/A		-	-	-	-	-
1.11	Loss of stability (of machinery and machine parts).	N/A		-	-	-	-	-
1.12	Slip, trip and fall hazards in relationship with machinery (because of their mechanical nature)	N/A		_		-	-	-
Elect	rical hazards							
2.1	Electrical contact:	Serious	Electric circuit contact	S2	A2	G2	W2	4

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				l	Probabil	ity of		Risk
No.	Hazard source	Unwante	Cause	h	arm to p	erson		class
		d		S	A	G	W	Risk
		incident		class	class	class	class	class

	direct	injury to human	when power on					
2.2	Electrical contact: indirect	ditto	Electric circuit contact when insulation failure.	S2	A2	G2	W2	4
2.3	Approach to live part under high voltage	ditto		S2	A2	G2	W1	3
2.4	Electrostatic phenomena	N/A		-	-	-	-	-
2.5	Thermal radiation or other phenomena such as projection of molten particles and chemical effects from short-circuits, overloads etc.	N/A		-	-	-	-	-
Therr	nal hazards							
3.1	Burns and scalds, by a possible contact of persons by flames or explosions and also by radiation of heat sources	Injury to human		S1	A1	G1	W1	_
3.2	Health-damaging effects by hot or cold work environment	N/A		-	-	-	-	-
Haza	rds generated by	noise						
4.1	Hearing losses (deafness), other physiological disorders (e.g. loss of balance, loss of awareness)	N/A		-	-	-	-	-
4.2	Health-damaging effects by hot or cold work environment	N/A		-	-	-	-	-
Haza	rds generated by	vibratio	on					
5.1	Use of hand-held machines resulting in a variety of neurological and	N/A		-	-	-	-	-

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
		d		S	A	G	W	Risk
		incident		class	class	class	class	class
	vascular disorder							
5.2	Whole body vibration, particular when combined with poor postures	N/A		-	-	-	-	-
Haza	rds generated by	vibratio	on					
6.1	Low / high frequency, radio frequency radiation, microwaves	N/A		-	-	-	-	-
6.2	Infrared, visible and ultraviolet Light	N/A		-	-	-	-	-
6.3	X and gamma rays	N/A		-	-	-	-	-
6.4	Alpha, beta rays, electron or ion beams, neutrons	N/A		-	-	-	-	-
6.5	Lasers	N/A	No such lasers	-	-	-	-	-
<b>mach</b> i 7.1	Hazards resulting	N/A	Harmful fumes and	S2	A2	G1	W2	3
	from contact with or inhalation of harmful fluids, gases, mists, fumes and dusts		dusts will be emission when processing the workpiece.					
7.2	fire and explosion	Serious injury to human	When machine processes the workpiece made by flammable material	S2	A2	G1	W2	-3
7.3	Biological and micro-biological (viral or bacterial)	N/A		-	-	-	-	-
	rds generated by on (mismatch of r		ting ergonomic p ery with human c					
8.1	Unhealthy posture or excessive efforts	N/A		-	-	-	-	-
8.2	Inadequate with hand-arm or foot-leg anatomy	N/A		-	-	-	-	-
8.3	Neglected use of personal protection	N/A		-	-	-	-	-

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
		d incident		<b>S</b> class	A class	<b>G</b> class	W class	Risk class
	equipment							
8.4	Inadequate local lighting	Injury to human		S2	A2	G1	W1	2
8.5	Mental overload or under-load, stress etc.	N/A		-	-	-	-	-
8.6	Human errors, human behavior	Injury to human		S2	A2	G1	W1	2
8.7	Inadequate design, location or identification of manual controls	N/A		-	-	-	-	-
8.8	Inadequate design or location of visual display units	N/A		-	-	-	-	-
Com	bination of hazar	ds						
9.1	Unhealthy posture or excessive efforts	N/A		-	-	-	-	-
llnov	pected start-up,	unovnoci	tod overrup /		d			
Unex	pected Start-up,	unexpect		ver-sp	eea			
10.1	Failure/disorder of control system (unexpected start-up, unexpected overrun)	Injury to human		S2	A2	G2	W2	4
	Failure/disorder of control system (unexpected start-up,	Injury to		-		G2	W2	4
10.1 10.2 10.3	Failure/disorder of control system (unexpected start-up, unexpected overrun) Restoration of energy supply after an interruption. External influence on electrical equipment	Injury to human		-		G2 - -	W2	4
10.1 10.2 10.3 10.4	Failure/disorder of control system (unexpected start-up, unexpected overrun) Restoration of energy supply after an interruption. External influence on electrical equipment Other external influences (Gravity, wind, etc.)	Injury to human N.A		S2	A2 - -	-	-	-
10.1 10.2 10.3	Failure/disorder of control system (unexpected start-up, unexpected overrun) Restoration of energy supply after an interruption. External influence on electrical equipment Other external influences	Injury to human N.A N/A		-		G2 - - - G2	W2 - - - - W1	4
10.1 10.2 10.3 10.4	Failure/disorder of control system (unexpected start-up, unexpected overrun) Restoration of energy supply after an interruption. External influence on electrical equipment Other external influences (Gravity, wind, etc.)	Injury to human N.A N/A N/A		S2	A2 - -	-	-	-
10.1 10.2 10.3 10.4 10.5 10.6	Failure/disorder of control system (unexpected start-up, unexpected overrun)Restoration of energy supply after an interruption.External influence on electrical equipmentOther external influences (Gravity, wind, etc.)Errors in the softwareErrors made by the operator (due to mismatch of machine with human characteristic	Injury to human N.A N/A N/A N/A		S2 - - - S2 S2 S2	A2 - - A2 A2 A2	- - G2 G2	- - W1 W2	- - - 3 4

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
		d incident		<b>S</b> class	A class	<b>G</b> class	W class	Risk class

	condition							
Varia	tions in the rotat	ional sp	eed of tools	I			1	
12	Variations in the rotational speed of tools	N/A		-	-	-	-	-
Failu	re of the power s	upply		·				
13	Failure of the power supply	N.A		-	A2	G2	W2	-
Failu	re of the control	circuit						
14	Failure of the control circuit	Injury to human		S2	A2	G2	W2	4
Error	s of fitting					•		
15	Errors of fitting	N/A		-	-	-	-	-
Brea	k-up during opera	ation		I	I		<u> </u>	
16	Break-up during operation	N/A		-	-	-	-	-
Fallir	ng or ejected obje	ects or f	luids					
17	Falling or ejected objects or fluids	Injury to human		-	-	-	-	-
Loss	of stability / over	rturning	of machinery	I				
18	Loss of stability / overturning of machinery	N/A		-	-	-	-	-
Slip,	trip and fall of pe	ersons (I	related to mach	nine)				
19	Slip, trip and fall of persons (related to machine)	N/A		-	-	-	-	-
	tional hazards, ha	azardou	s situation and	hazard	ous e	vents	due	to
mobi							1	
20.1	Movement when starting the engine	N/A		-	-	-	-	-
20.2	Movement without a driver at the driving position	N/A		-	-	-	-	-

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
		d incident		S	Α	G	W	Risk
		incluent		class	class	class	class	class
					1	i	-1	1
20.3	Movement without all parts in a safe position	N/A		-	-	-	-	-
20.4	Excessive speed of pedestrian controlled machinery	N/A		-	-	-	-	-
20.5	Excessive oscillations when moving	N/A		-	-	-	-	-
20.6	Insufficient ability of machinery to be slowed down, stopped and immobilized.	N/A		-	-	-	-	-
Linke	ed to the work po	sition (i	ncluding driving	statio	on) or	n the I	mach	ine
21.1	Fall of persons during access to (or at/from) the work station	N/A		-	-	-	-	-
21.2	Exhaust gases/lack of oxygen at the work position	N/A		-	-	-	-	-
21.3	Fire (flammability of the cab, lack of extinguishing means)	N/A		-	-	-	-	-
21.4	Mechanical hazards at the work position: a) contact with the wheels; b) rollover; c) fall of objects, penetration by objects; d) break-up of parts rotating a high speed; e) contact of persons with machine parts or tools (pedestrian controlled machines).	N/A		-	-	-	-	-
21.5	Insufficient visibility from the work positions	N/A		-	-	-	-	-
21.6	Inadequate lighting.	N/A		-	-	-	-	-
21.7	Inadequate seating	N/A		-	-	-	-	-
21.8	Noise at the work position	N/A		-	-	-	-	-
21.9	Vibration at the work position	N/A		-	-	-	-	-

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
		d incident		<b>S</b> class	A class	<b>G</b> class	W class	Risk class
21.10	Insufficient means for evacuation / emergency exit	N/A		-	-	-	-	-
Due t	o the control sys	stem						
22.1	Inadequate location of manual controls	N/A		-	-	-	-	-
22.2	Inadequate design of manual controls and their mode of operation	N/A		-	-	-	-	-
From	handling the ma	ichine (I	ack of stability)					
23	From handling the machine (lack of stability)	N/A		-	-	-	-	-
Due t	o the power sou	rce and	to the transmis	sion of	pow	er		
24.1	Hazards from the engine and the batteries	N/A		-	-	-	-	-
24.2	Hazards from transmission of power between machines	N/A		-	-	-	-	-
24.3	Hazards from coupling and towing	N/A		-	-	-	-	-
From	/ to third person	S						
25.1	Unauthorized start-up / use	N/A		-	-	-	-	-
25.2	Drift of a part away from its stopping position	N/A		-	-	-	-	-
25.3	Lack of inadequacy of visual or acoustic warning means	N/A		-	-	-	-	-
Insuff	ficient instructio	ns for th	e driver / opera	tor				
26	Insufficient instructions for the driver / operator	N/A		-	-	-	-	-
Mech	anical hazards a	nd haza	rdous events					
27.1	From load falls, collisions, machine tipping caused by;	N/A		-	-	-	-	-
27.1.1	lack of stability	N/A		-	-	-	-	-

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No.	Hazard source	Unwante	Cause		Probabil arm to p			Risk class
NO.		d	Cause	S	<b>A</b>	G	W	Risk
		incident		class	class	class	class	class
						-		
27.1.2	uncontrolled loading - overturning moments exceeded	N/A		-	-	-	-	-
27.1.3	uncontrolled amplitude of movements	N/A		-	-	-	-	-
27.1.4	unexpected / unintended movement of loads	N/A		-	-	-	-	-
27.1.5	inadequate holding devices / accessories	N/A		-	-	-	-	-
27.1.6	Collision of more than one machine	N/A		-	-	-	-	-
27.2	From access of persons to load support	N/A		-	-	-	-	-
27.3	From derailment	N/A		-	-	-	-	-
27.4	From insufficient mechanical strength of parts	N/A		-	-	-	-	-
27.5	From inadequate design of pulleys, drums.	N/A		-	-	-	-	-
27.6	From inadequate selection of chains, ropes, lifting and accessories and their inadequate integration into the machine	N/A		_	-	-	-	-
27.7	From lowering of the load under the control of friction brake	N/A		-	-	-	-	-
27.8	From abnormal conditions of assembly/ testing/ use/ maintenance	N/A		-	-	-	-	-
27.9	From the effect of load on persons (impact by load or counterweight)	N/A		-	-	-	-	-
Electi	rical hazards							
28	From lighting	N/A		-	-	-	-	-

No.	Hazard source	Unwante	Cause		Probabil arm to p	-		Risk class
		d incident		<b>S</b> class	A class	<b>G</b> class	W class	Risk class
29	Insufficient visibilities form the driving position.	N/A		-	-	-	-	-
	tional hazards, ha rground work	azardou	is situations and	hazar	dous	even	ts du	e to
30	Mechanical hazards and hazardous events due to:	N/A		-	-	-	-	-
30.1	Lack of stability of powered roof supports	N/A		-	-	-	-	-
30.2	Falling accelerator or brake control of machinery running on rails	N/A		-	-	-	-	-
30.3	Falling or lack of deadman's control of machinery running on rails	N/A		-	-	-	-	-
Rest	ricted movement	of pers	ons					
31	Restricted movement of persons	N/A		-	-	-	-	-
Fire a	and explosion	1						
32	Fire and explosion	Injury to human	When machine processes the workpiece made by flammable material	S2	A2	G1	W2	3
Emis	sion of dust, gas	es etc.						
33	Emission of dust, gases etc.	Injury to human	Harmful fumes and dusts will be emission when processing the workpiece.	S2	A2	G1	W2	3
	tional hazards, ha fting or moving c		is situations and	hazar	dous	even	ts du	e to
34	Mechanical hazards and hazardous events due to:	-		-	-	-	-	-
34.1	Inadequate mechanical strength and	N/A		-	-	-	-	-

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NI -		Unwante	0		Probabil			Risk
No.	Hazard source	d incident	Cause	S	arm to p	G	W	class Risk
		incident		class	class	class	class	class
	inadequate working coefficient							
34.2	Failing of loading control	N/A		-	-	-	-	-
34.3	Failing of controls in person carrier (function, priority)	N/A		-	-	-	-	-
34.4	Overspeed of person carrier	N/A		-	-	-	-	-
Fallin	ng of person from	n person c	arrier					
35	Falling of person from person carrier	N/A		-	-	-	-	-
Fallin	ig or overturning	of person	carrier			•		
36	Falling or overturning of	N/A		-	-	-	-	-
	person carrier							
Huma	an error, human l	behavior						
37	Human error, human behavior	N/A		-	-	-	-	-

Note:

- 1) For the risk marked with "N/A", either
  - a) it means the risk has been eliminated by general design and manufacture of machine. The harm caused these risks may not be considered. or
  - b) The risk is not applicable for the machine under assessment.
- 2) This list is fully completed compared to the list presented in Annex A of EN ISO 14121-1.

# **IV. Measures to eliminate the risk & its improvement.**

Working Phase	Operation:		Maint	tenance:	$\checkmark$	Other:					
ld No.	2.1	Facto	ors:	s: S2-A2-G2-W2 L		Level:	4				
Description	Electrical cor	ntact di	rectly				•				
Where / When	Electric circu	Electric circuit contact when power on									
Measures	- To design th EN 60204-1 - Place warni			rcuit and	electri	cal equipmer	nt according to				
Reference	EN 60204-1	EN 60204-1									
Improvement	Factors:		S2-,	S2-A2-G2-W1		evel:	3				

Working Phase	Operation:		Main	tenance:	$\checkmark$	Other:					
ld No.	2.2	Facto	rs:	S2-A2-G	2-W2	Level:	4				
Description	Electrical cor	al contact indirectly									
Where / When	Electric circu	Electric circuit contact when insulation failure									
Measures	- To design tl EN 60204-1 - Place warni			ircuit and o	electrio	cal equipmer	nt according to				
Reference	EN 60204-1	EN 60204-1									
Improvement	Factors:		S2-	62-A2-G2-W1		evel:	3				

Working Phase	Operation:	$\checkmark$	Mair	ntenance:		Other:					
ld No.	2.3	Facto	ors:	S2-A2-G2	2-W1	Level:	3				
Description	Approach to	Approach to the live part under high voltage.									
Where / When	- The source	- The source of power supply is still live when power is disconnected.									
Measures	EN 60204-1	<ul> <li>To design the electrical circuit and electrical equipment according to EN 60204-1</li> <li>To perform test for protection against residual voltage</li> </ul>									
Reference	EN 60204-1	EN 60204-1 6.2.4									

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Improvement Factors:	S2-A2-G2-W1	Level:	3
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Working Phase	Operation:		Maintena	nce:		Other:						
ld No.	3.1	Facto	ors: S1-	A1-G	1-W1	Level:		-				
Description		Burns and scalds, by a possible contact of persons by flames or explosions and also by radiation of heat sources										
Where / When	High tempera	ature of	f ventilatior	hot v	vind.							
Measures	- Verify the te - Place warni	•		than 4	<b>10</b> ℃							
Reference	-	-										
Improvement	Factors:		S1-A1-0	G1-W1	1 L	evel:		-				

Working Phase	Operation:	$\checkmark$	Main	laintenance: $$ Other:								
ld No.	7.1	Facto	ors:	S2-A2-G1-W2		G1-W2 Level:		3				
Description		Hazards resulting from contact with or inhalation of harmful fluids, gases, mists, fumes and dusts.										
Where / When	Harmful fume	Harmful fumes and dusts will be emission when processing the workpiece.										
Measures	device	- Instruct the information and precaution for processing workpiece in use										
Reference	EN ISO 12100-1, -2											
Improvement	Factors:		S2-	A2-G1-W1 L		evel:		1				

Working Phase	Operation:		Main	itenance:	$\checkmark$	Other:					
ld No.	7.2	Facto	ors:	S2-A2-G	1-W2	Level:	3				
Description	Fire and exp	losion									
Where / When	When machi	ne proc	cesses	the workp	oiece n	nade by flam	mable material				
Measures	- Instruct the manual	- Instruct the information and precaution for processing workpiece in user manual									
Reference	EN 60204-1										

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Improvement	Factors:	S1-A2-G1-W1	Level:	1
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Working Phase	Operation:		Maint	tenance:		Other:						
ld No.	10.2	Facto	ors:	S2-A2-G	2-W2	Level:	4					
Description	Hazards caus	Hazards caused by the restoration of energy after interruption.										
Where / When	Automatic em	Automatic emission of laser beam while energy supply recovery.										
Measures	- Prohibit the through the do - Perform the	esign (	of elect	trical circu		while energ	y supply recovery					
Reference	EN 60204-1	EN 60204-1										
Improvement	Factors:		S2-	A1-G1-W	1 L	.evel:	-					

Working Phase	Operation:	$\checkmark$	√ Maintenance:			Other:						
ld No.	10.5	Facto	ors:	S2-A2-G2-W1		Level:	3					
Description	Hazards caus	Hazards caused by the error in the software.										
Where / When	Errors in the	Errors in the software to unexpected emit laser beam.										
Measures	- Perform sof - Let the work				over	the controls	by software.					
Reference	-											
Improvement	Factors:		S2-	62-A1-G1-W1 L		.evel:	-					

Working Phase	Operation:		Main	tenance:		Other:		
ld No.	10.6	Factors:		S2-A2-G2-W2		Level:	4	
Description	Hazards caused by Errors made by the operator							
Where / When	Human exposure in laser beam area without guard.							
Measures	<ul> <li>Require the operator should be trained and skilled in user manual.</li> <li>Provide the interlock protection</li> </ul>							
Reference	EN 60204-1							
Improvement	Factors:		S2-	-A1-G1-W′	L	evel:	-	

Working Phase	Operation:		Main	tenance:		Other:		
ld No.	32	Factors:		S2-A2-G	1-W2	Level:	3	
Description	Fire and explosion							
Where / When	When machine processes the workpiece made by flammable material							
Measures	- Instruct the information and precaution for processing workpiece in use manual							
Reference	-							
Improvement	Factors:		S2-	A2-G1-W	L	evel:	2	

Working Phase	Operation:	√ Ma	intenance:	$\checkmark$	Other:			
ld No.	33	Factors:	S2-A2-G	S2-A2-G1-W2		3		
Description	Emission of dust, gases etc							
Where / When	Harmful fumes and dusts will be emission when processing the workpiece.							
Measures	<ul> <li>Design a internal exhaust device or interface with external exhaust device</li> <li>Instruct the information and precaution for processing workpiece in use manual</li> </ul>							
Reference	-							
Improvement	Factors:		62-A2-G1-W	1 L	evel:	-		

## Pictures



## Annex B – Product Photo-documents

Fig.1



Fig.2

## Pictures



Fig.3

-----THE END OF TEST REPORT------

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